



# Appaloosa Horse Club of Canada

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## ApHCC Office Hours

Monday through Friday

8:30 am to 4:30 pm

Mountain Time



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## ARTICLES OF AMENDMENT

### I. NAME

The name of the Association is the "APPALOOSA HORSE CLUB OF CANADA", hereinafter called the "ApHCC".

### II. HEAD OFFICE

The head office and recording office of the ApHCC shall be in the town of Claresholm, in the Province of Alberta.

### III. AUTHORITY

The Articles of Amendment herein are submitted by the officers and directors of the ApHCC:

PRESIDENT:	Lynn Shinkewski Box 449 Esterhazy, SK S0A 0X0	DIRECTOR:	Doug Mileham 2525 Drummer Asphodul Rd Warsaw, ON K0L 3A0
1ST VICE PRESIDENT:	Howard Jackson 13435 Hwy 97 S Prince George, BC V2N 6B5	DIRECTOR:	Jocelyn Kish Box 24 Site 515 RR5 Brandon, MB R7A 5Y5
2ND VICE PRESIDENT:	Donna Wyatt Box 8 Site 2 RR 2 High River, AB T1V 1N1	Past President:	Brad Meggison Box 85 Goodlands, MB R0M 0R0
DIRECTOR:	Trevor Ingraham 7902 Rte102 Barony, NB E6G 1R4		

which Officers and Directors were elected and/or approved in accordance with the Constitution and By-laws of the ApHCC for the 2010 fiscal/calendar year.

### IV. APPLICATION

Amendment to the Articles of Incorporation of the ApHCC is hereby applied for to identify the "Appaloosa Horse" as an "Evolving Breed", pursuant to the *Animal Pedigree Act, 1988*. The ApHCC will have as its purpose the development and improvement of the Appaloosa Horse and will engage in the identification of Appaloosa Horses and the keeping of Appaloosa pedigrees.

### V. THE BREED STANDARD

- A. TYPE: the Appaloosa Horse is of "light horse" body type; free of "draft horse" or "pony" body types.
- B. HEIGHT: the Appaloosa Horse must be 14 hands high, or taller, at the withers by not later than five (5) years of age.

- C. COAT PATTERN: the Appaloosa Horse shall have one of the following coat patterns or combination of coat patterns:
- |                    |                         |
|--------------------|-------------------------|
| Blanket            | Roan Blanket            |
| Blanket with Spots | Roan Blanket with Spots |
| Leopard            | Varnish Roan            |
| Spots              | Solid                   |
- D. BASE COLORS: the Appaloosa Horse shall be of the following base colors:
- |                   |          |
|-------------------|----------|
| Bay               | Chestnut |
| Dark Bay or Brown | Palomino |
| Black             | White    |
| Dun               | Bay Roan |
| Buckskin          | Red Roan |
| Blue Roan         | Grey     |
| Grulla            |          |
- E. OTHER APPARENT CHARACTERISTICS of the Appaloosa horse shall be:
- Mottled Skin  
White Sclera  
Striped hooves
- F. PARENTAGE: the Appaloosa horse must have parentage as approved by the ApHCC with at least one parent being classified as having recognizable Appaloosa coat pattern other than solid.

NOTICE TO READER:

"ARTICLES": are found in The Constitution  
"SECTIONS": are found in the By-Laws  
"PARTS": are found in the Regulations  
"CLAUSES": are found in the Rules

**CONSTITUTION**

This shall be the Constitution of the "APPALOOSA HORSE CLUB OF CANADA" a "not for profit" corporation incorporated pursuant to the *Animal Pedigree Act, 1988* of Canada and registered in the Province of Alberta as an "Extra Provincial Corporation" (classified as exempt).

For the purposes of the *Animal Pedigree Act, 1988* the Constitution and the By-Laws of the ApHCC shall all be deemed to be By-Laws, notwithstanding the use of the term "Constitution".

**ARTICLE I - NAME AND HEAD OFFICE**

The name of the organization shall be "APPALOOSA HORSE CLUB OF CANADA" (hereinafter called the ApHCC) with head office, records office and books of account located in the Town of Claresholm, in the Province of Alberta, Canada.

**ARTICLE II - OBJECTIVES**

The objectives of the ApHCC are:

- A. Pursuant to the terms of the Articles of Amendment of the ApHCC and under the *Animal Pedigree Act, 1988* of Canada:
  - 1. To promote the development and improvement of the Appaloosa Horse from an evolving breed to a distinct breed possessing genetic stability.
  - 2. To foster the breeding and marketing of Appaloosa Horses possessing significant value. The breeding aim is to produce well bred Appaloosa Horses which are of "light horse" body type, free of "draft horse" or "pony" body types: a horse which is structurally stable; correct in conformation; free of genetic disorders; well balanced; versatile; capable of being used for pleasure; performance; work or racing displaying a traditional Appaloosa coat pattern and other apparent characteristics.
  - 3. To establish, keep, and maintain books of Register, Articles of Incorporation, Articles of Amendment, Constitution, By-laws, Regulations and Rules, business records and books of account, subject to the terms and conditions of the *Animal Pedigree Act, 1988* of Canada, as required and defined in the ApHCC Constitution, Bylaws, Regulations and Rules as adopted and on behalf of and in the best interests of the Members of the ApHCC.
- B. To prescribe By-laws, Regulations and Rules which shall be subject to annual review.
- C. The ApHCC shall be responsible to regulate Appaloosa Horse and Member identification and registration, accomplishment and discipline in accordance with the direction of the ApHCC, it's Constitution, By-laws, Regulations and/or Rules, and the *Animal Pedigree Act, 1988* of Canada.

**ARTICLE III - MEMBERS**

- A. All Horses with application on file at the ApHCC and which meet the requirements of the ApHCC Constitution, By-laws, Regulations and/or Rules, shall remain on record in the files of the ApHCC, and shall be referred to herein as "horse(s)".
- B.
  - 1. All Persons who shall apply for and are granted ApHCC Membership in accordance with the terms and conditions of the ApHCC By-Laws, Regulations and/or Rules shall be referred to herein as "Member(s)". Such Member shall be eligible for the benefits of membership as prescribed by the ApHCC Constitution and By-Laws, excepting voting rights which shall be granted to only those Members who reside and are considered resident in a Constituency as described herein [ARTICLE IV B. 1.].
  - 2. Notwithstanding the above, the ApHCC may refuse, or review the rights to and of the membership of an Applicant or Member for any reason considered valid by ApHCC, including, but not limited to, insolvency, death, criminal conviction, dissolution of a corporation or partnership, or noncompliance of ApHCC Bylaws, Regulations and/or Rules.
  - 3. The term "Member in good standing" where used herein shall mean a person whose dues are paid for the current term and who is not currently serving any discipline imposed by the ApHCC.

4. The term "family" or "immediate family" shall apply in all cases where reference is made to those terms in the ApHCC Constitution, By-laws, Regulations and/or Rules and shall include: spouses, parents, step-parents, grand-parents, children, step-children, siblings, step-siblings, half-siblings, declared common-law relationships.
5. Member shall be further defined:
  - a. **Adult Member**  
 This membership category is the only category of ApHCC Member which may be combined with Youth membership as a "Family Package";
    - i. a person, at least 18 years of age, who shall in accordance with the ApHCC Constitution, By-Laws, Regulations and/or Rules be obligated and entitled to:
      - < submit application and pay annual dues in advance;
      - < carry a vote;
      - < run for office;
      - < register and show horses; and
      - < any other right or privilege as set out in the Constitution, By-Laws, Regulations and/or Rules of the ApHCC.
    - ii. a "Family Package" includes any persons living as a family with the same principal address. A "Family Package" shall contain a maximum of two Adult Members. Youth family members must be in compliance with [ARTICLE III B.5.b.]. Voting privileges shall be the same as two Adult Members, where two adults are listed on the membership application, in accordance with the ApHCC Constitution and Bylaws.
    - iii. a corporation or partnership who shall submit application and annual dues according to the ApHCC By-Laws, in advance and which application contains evidence of the authority of the designated signing officer who shall carry the vote of the Member in accordance with the ApHCC By-Laws.
  - b. **Youth Membership**
    - i. A person who is eighteen years of age or younger as of January first of the current year, and who shall have all the obligations, rights and privileges of an Adult member with the exception of the right to carry a vote and the right to run for elected office.
    - ii. The age of the individual on January first may be maintained for membership purposes throughout that entire year. A person born on the first day of January shall assume the greater age as of that date.
  - c. **Life Membership**
    - i. A Life Membership may be conferred on a person who, in the opinion of the ApHCC, has made significant contribution to the ApHCC and/or the Appaloosa breed.
    - ii. The award will be conferred following nomination and approval by a normal voting majority, for two (2) consecutive years, of those entitled to vote at the Annual General Meeting of ApHCC.
    - iii. A Life Membership so designated and conferred shall have all the obligations, rights and privileges of an Adult member (including revocation and discipline) with the exception that there shall be no dues requirement.
    - iv. A Life Membership shall not be assignable or transferable. Any Life Membership conferred previous to the adopting of this Constitution and By-Laws, by the ApHCC, shall be recognized by the ApHCC under the terms and conditions that it was bestowed.
  - d. **Honorary Membership**
    - i. An Honorary Membership may be conferred by the ApHCC to a Person, Corporation or Partnership in recognition of outstanding service, dedication or contribution to the ApHCC or the Breed.
    - ii. An Honorary Membership shall be conferred for a specified term by normal voting majority at any General Meeting of the ApHCC.
    - iii. A person so honored shall not be required to pay dues during the term of Honorary Membership and shall not stand for election, hold office or vote.

- iv. A nominee for Honorary Membership must have the endorsement of the Board of the ApHCC before consideration of the honor at a General Meeting of the ApHCC.
  - e. **Associate Membership**
    - i. An Associate Membership may be granted to persons or organizations who have made contribution or sponsorship to the ApHCC from time to time, upon acceptance of the membership application by the Board of Directors for Associate Membership and dues being paid in advance.
    - ii. An Associate Membership shall be entitled to all privileges of an Adult Member excepting that an Associate Member may not be entitled to show horses, run for office or vote.
  - f. **Affiliate Membership**
    - i. Affiliate Membership may be granted to organizations sanctioned by the Board of Directors of the ApHCC and which organizations are in good standing and subscribe to the terms and conditions of the Constitution, By-Laws, Regulations and/or Rules of the ApHCC and further;
      - < shall be resident and representative of a Constituency in Canada [as defined in ARTICLE IV B.1.] which shall be referred to herein as a "**Regional Affiliate**";
      - < shall be resident and representative of a club or group in or around a municipality and/or district within a Constituency in Canada which shall be referred to herein as a "**District Affiliate**";
      - < shall be resident or representative of a country or part of a country other than Canada which shall be referred to herein as a "**Foreign Affiliate**".
- C.
- 1. Any person not a Member of the ApHCC using any services of the ApHCC shall be subject to the Constitution, By-Laws, Regulations and/or Rules of the ApHCC unless specifically provided for in the Constitution, By-Laws, Regulations and Rules of the ApHCC.
  - 2. Pursuant to Section 61 of the *Animal Pedigree Act, 1988*, any person who violates or acts in contradiction to the Constitution, By-Laws, Regulations and/or Rules of ApHCC shall be deemed to be immediately suspended from the availability of all services of the ApHCC excepting the right of appeal.

**ARTICLE IV - OPERATION AND MANAGEMENT OF THE ApHCC**

- A. All fiscal and fiduciary matters of the ApHCC will commence and conclude with the calendar year unless otherwise specified herein or in the By-Laws of the ApHCC.
- B. The affairs of the ApHCC shall be managed by the ApHCC through their elected officials known herein as Directors and/or Board of Directors as in accordance with the Constitution, Bylaws, Regulations and/or Rules of the ApHCC.
  - 1. a. Directors shall be elected during the third calendar quarter from among Members as herein entitled to vote within each Canadian federally designated Province or Territory, which shall be herein referred to as a "Constituency", to represent the objectives and best interests of ApHCC et. al. and as they apply to their respective Constituency(s).
  - b. Notwithstanding the previous statement, the Board may combine and/or realign the boundaries of Constituencies if there are less than one hundred Members eligible to vote for the last consecutive three (3) years who are resident in a Constituency.
  - 2. Term of service of a Director shall be two (2) years or a portion thereof if the election is to replace a vacant position.
  - 3. Elections of Director positions and the terms thereof shall be staggered, at the discretion of the Board of Directors for the first (1st) election under this Constitution, so that the effect shall be approximately one half (1/2) of the Directors will be elected in any given year henceforth.
  - 4. No Director shall serve more than six (6) consecutive years of elected office.
  - 5. a. All Directors shall attend all of the meetings that the Director has been duly notified to attend, via the manner as outlined in the calling of any meeting of the ApHCC.
  - b. Failure to attend two (2) consecutive duly called meetings of the ApHCC, its Board or Committees shall require a finding of the Board to consider the replacement of the elected Director in accordance with [ARTICLE IV B.6.] herein.



- c. Notwithstanding the above, a Member of the Board of Directors or Committee who has missed three (3) consecutive duly called ApHCC meetings, shall be deemed to have resigned, if in the opinion of the Board of Directors, absence from the three (3) meetings was without valid reason, and/or the inactivity of the absent Director placed Members of the ApHCC in a position of jeopardy.
  6. If an elected or appointed ApHCC position is vacant and it is the opinion of the Board of Directors that the vacancy is detrimental to the ApHCC, Members or a Constituency thereof, then the Board of Directors may appoint or cause the election of a replacement Member in good standing who shall hold the position until the next election and as otherwise pursuant to the terms and conditions of the ApHCC Constitution, By-Laws, Regulations and/or Rules.
- C. The Officers of the ApHCC shall be the President, First Vice-President, Second Vice-President, Secretary, Treasurer and Immediate Past-President. Excepting the Immediate Past-President, these officers shall be elected annually from among the Members of the Board of Directors, by the Board Members, at the first (1st) General Meeting at which the Board Members gather and are instituted following the annual elections. Officers will assume office on the first (1st) day of January following their election and prior to assumption of office shall be known as "[respective position of office] elect".
  1. **The President** shall be the Chief Executive Officer (CEO) of the ApHCC and shall preside at all Board of Directors, General and Special meetings of the ApHCC. A President may hold office for no more than two (2) annual terms in any consecutive six (6) year period.
  2. **The First and/or Second Vice-President**, in the order elected, shall act in the same authority as the President (CEO) at the bidding of the President (CEO) or if the President (CEO) is unable to act by reason of resignation, death or impeachment, and otherwise to assist with or perform the duties of the President (CEO) as determined from time to time as determined by the President (CEO).
  3. **The Secretary** of the ApHCC shall be responsible to ensure the thorough and impartial recording of minutes at the Directors and General Meetings and to distribute such minutes and other notices in a timely and appropriate manner. The Secretary shall be the Chairperson of the Personnel Committee of the ApHCC.
  4. **The Treasurer** of the ApHCC shall report financial history, prepare and present budgets, information, and report to each Board of Directors meeting, and each General Meeting, at the request of the President (CEO) or at the request of any four (4) Board of Director Members on any and all financial matters of the ApHCC. The Treasurer shall be Vice-Chairperson of the Personnel Committee.
  5. The most Immediate Past-President, who is an Adult Member in good standing of the ApHCC, shall be a voting officer of the Board notwithstanding years of elected service to the Board.
- D.
  1. The Board of Directors shall appoint Committees annually, and from time to time, which shall report to the Board of Directors, in accordance with deemed need and on the terms and conditions of the Constitution, By-Laws, Regulations and/or Rules of the ApHCC, including but not limited to:
    - a. Executive Committee;
    - b. Nomination Committee;
    - c. Personnel Committee;
    - d. Rules Committee;
    - e. Discipline Committee;
    - f. Promotion and Publicity Committee;
    - g. International Liaison Committee; and
    - h. Law Review Committee.
  2. The Board of Directors shall appoint persons to be "Authorized Representatives" from time to time who shall be appointed based on the persons' ability and credentials, in the opinion of the ApHCC Board of Directors, to act on behalf of, or provide expert opinion to the Board of Directors, or as required by the ApHCC in the best interests of the ApHCC Members and in accordance with the ApHCC Constitution, By-Laws, Regulations and/or Rules.
- E. Indemnification
  1. Every Director, Officer or Staff person, their heirs, executors, administrators and estate and effects respectively, shall from time to time, and at all times, be indemnified and saved harmless, subject to the provisions of all applicable statutes, out of the funds of the ApHCC from and against any liability, costs, charges and expenses that an individual(s) may sustain or incur in respect of any action, suit or proceeding that is proposed or commenced against him for or in respect of the proper execution of the duties of his office.

2. For the purpose of Indemnification, the term "Officer" shall be deemed to include the Members of all duly appointed Committees and Staff of the ApHCC.
  3. The financial liability of a Member of the ApHCC, to the creditors of the ApHCC, is limited to the amount due from the Member to the ApHCC in respect of membership, registration, or other fees or charges as prescribed in the Constitution, By-Laws, Regulations and/or Rules of the ApHCC.
- F. The Income, Real and other Property received by, and/or in the possession of the ApHCC from whatever source derived shall be applied solely towards the promotion and furtherance of the objectives of the ApHCC and no other part thereof may be available directly or indirectly as profit or gain or use not authorized by the Board of Directors of the ApHCC to any person, corporation, society or body of whatsoever description.
- G. ApHCC Articles of Incorporation and Articles of Amendment, By-Laws, Regulations, Rules, business records and books of account shall at all reasonable times be made available to ApHCC Members for the purpose of inspection and/or the making of copies.

## ARTICLE V - MEETINGS

### A. GENERAL MEETINGS

1. There shall be a General Meeting annually during the fourth calendar quarter of each year which shall receive and hear Director and Committee reports, concerns of constituents, attend to the institution of new Officers and which meeting shall adjudicate, vary, ratify or instruct according to the Constitution, By-Laws, Regulations and Rules of the ApHCC any matters of the ApHCC related to, but not limited to, audited financial statements of the previous year, financial status of the current year, budgets for the coming year and/or all actions of the Board of Directors, Committees and/or Members.
2. General Meetings of the ApHCC may be called and the date, time and place may be set by the President (CEO), Executive Committee or the Board of Directors, on notice dispatched at least sixty (60) days prior to the date of the meeting to all Members who are eligible to vote at such meetings and which notice may be circulated in a publication by the ApHCC of any kind, dispatched to the last known address of the Member.
3. A quorum for voting at all General Meetings shall represent by delegate and/or in person, twenty percent (20%) of the ApHCC voting membership and in accordance with [ARTICLE VI A.] herein.
4. The President (CEO) or Chairperson of an ApHCC General Meeting must determine and administer proper Parliamentary Procedure and authority and may appoint a Parliamentarian at any time if deemed appropriate and in the best interests of the ApHCC.

### B. BOARD MEETINGS

Meetings of the ApHCC Board of Directors may be called and the date, time and place of the meeting set by the President (CEO), Executive Committee or any four (4) Directors and further:

1. The Board of Directors shall convene at least one (1) table attendance meeting during each fiscal year.
2. The Board of Directors may convene telephone conference meetings in accordance with the ApHCC Constitution and By-Laws.
3. Notice of meetings of the Board of Directors must be dispatched seven (7) days prior to the meeting date and time, by fax or phone, or twenty one (21) days prior to the meeting by regular mail.
4. A quorum of the Board of Directors shall be two-thirds (2/3) of those elected for that term.

### C. COMMITTEE MEETINGS

1. Committees as duly appointed by the ApHCC shall convene at the discretion and direction of the President (CEO), Board of Directors or the respective Committee Chair.

2. Committees shall convene, as directed, by table meeting or telephone conference.
3. A quorum of any or all Committee meetings shall be fifty (50%) percent of those appointed or elected.

## **ARTICLE VI - VOTING**

### **A. VOTING AT THE ApHCC GENERAL MEETINGS**

1. Only those who are Members in good standing of the ApHCC sixty (60) days prior to any duly convened General Meeting may discuss and vote as in [ARTICLE III B.] and who are present at any duly convened General Meeting of the ApHCC, may discuss and vote for the purposes of all matters and business of the ApHCC which may be addressed at the meeting, unless the Member is represented at the meeting by a delegate duly appointed as herein.
2. Each Member of the Board of Directors of the ApHCC present shall be entitled to ten (10) votes and shall not pledge the votes in absence. A Member of the Board of Directors of the ApHCC shall not carry the delegate vote(s) of the Member in good standing of an Affiliate and shall not carry the delegate vote(s) of named "Members in good standing".
3. A Member, who is not a Director of the ApHCC, and is in good standing, may act as a Delegate and may carry the delegate votes of the Members in good standing of an Affiliate or named "Members in good standing" with the written authorization of the named "Members in good standing", in accordance with the Constitution, By-Laws, Regulations and/or Rules of the ApHCC.

### **B. VOTING AT THE ApHCC BOARD OF DIRECTOR MEETINGS**

1. Voting at the Board of Directors meetings shall be one (1) vote per person elected to the Board and who is present at the meeting whether by telephone conference or table meeting.
2. If a matter before the Board is deserving, in the opinion and at the sole discretion of the President (CEO), a written or mail ballot on the matter may be issued.
3. Telephone polling on an individual basis or issue shall not be considered as a voting process.

### **C. VOTING AT COMMITTEE MEETINGS**

1. Voting in the Committees of the ApHCC shall be one (1) vote per person, so elected or appointed, who is present at the meeting whether by telephone conference or table meeting
2. If a matter before the Committee is deserving, in the opinion and at the sole discretion of the Committee Chair, a written or mail ballot on the matter may be issued.
3. Telephone polling on an individual basis or issue shall not be considered as a voting process.

## **ARTICLE VII - GENERAL**

### **A. THE ApHCC ARTICLES OF INCORPORATION AND ARTICLES OF AMENDMENT MAY BE AMENDED**

1. By notice of a proposed amendment presented at an ApHCC General Meeting, in the form of a "Notice Of Motion";
2. which Notice of Motion must be circulated to all ApHCC Members with the minutes of the meeting at which the proposed amendment was first presented; and
3. which proposed amendment shall be voted on at a subsequent General Meeting, or by mail ballot, which meeting or ballot distribution may not be sooner than eleven (11) months after the Notice of Motion was first presented to a General Meeting of the ApHCC.
4. Pursuant to clause 20(2)(c) of the *Animal Pedigree Act, 1988*, to amend the Articles of Incorporation or Articles of Amendment, a motion must be responded to, in writing, by a minimum of twenty-five (25%) percent of the Members of the ApHCC, and may be carried by not less than a two-thirds (2/3) majority of those Members who have responded in writing.

- B. This Constitution shall have priority and effect and be precedent to the By-Laws, Regulations and Rules of the ApHCC.
- C. THIS CONSTITUTION MAY BE AMENDED:
1. By notice of a proposed amendment presented at an ApHCC General Meeting, in the form of a "Notice Of Motion";
  2. which Notice of Motion must be circulated to all ApHCC Members with the minutes of the meeting at which the proposed amendment was first presented; and
  3. which proposed amendment shall be voted on at a subsequent General Meeting which meeting may not be sooner than eleven (11) months after the Notice of Motion was first presented to a General Meeting of ApHCC.
  4. To amend this Constitution, a motion must be carried by not less than a two-thirds (2/3) majority of those votes which are present in the meeting.
- D. THE BYLAWS OF THE ApHCC MAY BE AMENDED:
1. By a two-thirds (2/3) majority vote at a General Meeting of the ApHCC following receipt of the Notice of Motion of the proposed amendment at the offices of the ApHCC at least one hundred and eighty (180) days prior to the date of the next General Meeting; and
  2. which Notice of Motion shall be circulated in accordance with [ARTICLE V A.2.].
- E. THE REGULATIONS AND RULES OF THE ApHCC MAY BE AMENDED:
1. by a majority vote of the Board of Directors in accordance with the By-Laws of the ApHCC.
- F. Unless specifically identified in this Constitution, the singular shall include the plural, the masculine, feminine and the neuter.
- G. Titles and subtitles herein do not form a part of this Constitution and are to improve visible accessibility only.

## BY-LAWS

This shall be the By-Laws of the "APPALOOSA HORSE CLUB OF CANADA" a "not for profit" corporation, incorporated pursuant to the *Animal Pedigree Act, 1988* of Canada and registered in the Province of Alberta as an "Extra Provincial Corporation" (classified as exempt).

For the purposes of the *Animal Pedigree Act, 1988* the Constitution and the By-Laws of the ApHCC shall all be deemed to be By-Laws, notwithstanding the use of the term "Constitution".

### SECTION I - COMMITTEES

The Board of Directors shall appoint committees as in [ARTICLE IV D.] of the ApHCC Constitution. Action of any Committees so appointed shall be ratified by the ApHCC Board of Directors or the Executive Committee at the next Board of Directors meeting. All Committees shall report and be accountable to the Board of Directors and Members of the ApHCC.

#### A. EXECUTIVE COMMITTEE shall

1. be comprised of four (4) members of the Board of Directors which shall include the President (CEO) and Treasurer of the ApHCC.
2. be responsible for the day to day operation, including financial reporting, management of all property and assets, and the annual appointment of auditors to the Board of the ApHCC.

#### B. NOMINATION COMMITTEE shall

1. be comprised of a Past President in good standing, at least one member of the Board of Directors and one Member in good standing, appointed by the Board, who is resident in the respective Constituency in which an election(s) is to be held in any year. The Committee Member resident in the respective Constituency as herein shall act in that Constituency only.
2. choose and appoint the Scrutineers for all elections and shall present all nominations to the Executive Committee and the Board of Directors of the ApHCC.
3. be responsible for the validation of voting status and number of votes carried by any delegate or Member at all General Meetings of the ApHCC.

#### C. PERSONNEL COMMITTEE shall

1. be comprised of a Chairperson, who is the ApHCC Secretary pursuant to [ARTICLE IV C.3.], a Vice Chairperson who is the ApHCC Treasurer pursuant to [ARTICLE IV C.4.], and one other Board Member.
2. be charged with the responsibility to hire, supervise and discipline all office staff.

#### D. RULES COMMITTEE shall

1. be comprised of at least three (3) members of the Board of Directors of the ApHCC, one of whom shall be named Chairperson. Sub-Committees and/or other expertise shall be appointed by the Committee from Members in good standing of the ApHCC which appointments shall be subject to ratification by the Executive Committee.
2. be charged with the responsibility to establish and publish the Regulations and Rules of the ApHCC related to: shows and showing, judges and judging, inspection and registration of horses, membership, and any rules which are a part of any ApHCC rule or hand book, or any rules in regard to sanctions in accordance with the terms and conditions of the By-Laws, Regulations and/or Rules of the ApHCC.

#### E. DISCIPLINE COMMITTEE shall

1. be comprised of an ApHCC Board Member as Chairperson and committee members appointed from time to time by the Discipline Committee Chairperson from Members in good standing, as objectively appropriate to any situation at hand. All appointments shall be ratified by the Executive Committee.

2. following advice and information from the Law Review Committee, and pursuant to [SECTION I H.] herein, who may provide recommendations of disciplinary measures regarding complaints to the ApHCC that have substantiated evidence of alleged wrong doing or infringement or breach of any of the terms and conditions of the ApHCC Constitution, By-Laws, Regulations and/or Rules, all recommendations will be presented immediately to the Executive Committee. A Member or person accused will have the right to appear before the Discipline Committee or may be called to do so. A Member or person who has been disciplined shall have the right to appeal to the Law Review Committee whose findings shall be passed to the Executive Committee for a final decision.

**F. PROMOTION AND PUBLICITY COMMITTEE shall**

1. be comprised of at least one ApHCC Board Member who shall be Committee Chairperson and to include other members as appointed by the Committee Chairperson from ApHCC Members in good standing, which appointments shall be ratified by the Executive Committee.
2. promote the objectives of the ApHCC, publish all announcements as required by the ApHCC By-Laws, and supervise the publishing, budget, and distribution of any magazines, promotional material or announcements of any kind which are in the name of, or represent the ApHCC, its Executive Committee, Board of Directors or other Committees.

**G. INTERNATIONAL LIAISON COMMITTEE shall**

1. be comprised of at least two ApHCC Board Members, one of which must be a member of the Executive Committee who shall choose the Committee Chairperson and which may include other Members in good standing, which appointments shall be made by the Committee Chairperson and ratified by the Executive Committee.
2. receive and/or initiate any correspondence with equine organizations, other than Canadian, which may be instrumental in the promotion of the objectives of the ApHCC and in the best interests of the Members of the ApHCC as well as the promotion and improvement of the Appaloosa.

**H. LAW REVIEW COMMITTEE shall**

1. be comprised of the acting Immediate Past President, one other Board Member and at least two Members in good standing, which appointments shall be made by the Committee Chairperson and ratified by the Executive Committee.
2. receive all proposed amendments to the ApHCC Constitution, By-Laws, Regulations and Rules.
3. ensure that the proposed amendments are presented to the Board and Membership in accordance with the terms and conditions of the Constitution, By-Laws, Regulations and Rules of the ApHCC.
4. make recommendations regarding any contradiction or contravention of any existing terms and conditions of the ApHCC Constitution, By-Laws, Regulations or Rules as the result of the furtherance of any proposed amendments.
5. correspond and/or discuss any possible ramifications or implications of inconsistencies, with the author of a proposed amendment.
6. not put aside, change, delay or edit in any way a proposed amendment received by the committee.
7. provide interpretation of the ApHCC Constitution, By-Laws, Regulations and/or Rules on written request, or at the bidding of the President (CEO) or Executive Committee, to any Member in good standing.
8. receive all complaints of inconsistency, contravention or breach of the ApHCC Constitution, By-Laws, Regulations and/or Rules alleged against a Member or any person. After collection and examination of all relative evidence or material, the Law Review Committee shall advise the Discipline Committee fully, provide all relative evidence or material and request their consideration of further action.
9. receive all protests of whatsoever kind that are directly related to interpretation, contravention or breach of the ApHCC Articles of Incorporation, Articles of Amendment, Constitution, By-Laws, Regulations, Rules or Appendices thereto. The Law Review Committee shall deal directly with the protest, or redirect the issue or part thereof for advisement, consultation or decision to any other Committee of the ApHCC within forty-eight (48) hours

of receiving notice of protest. Notwithstanding the above, the Law Review Committee shall be ultimately responsible to ensure the issue of protest is responded to the protestor and completely reported to the Executive Committee.

10. be responsible for all question of Membership and shall receive all applications for Affiliate Membership. Following study of Affiliate Membership applications and concurrence by the Law Review Committee regarding the applicant's qualification, within the terms and conditions of the ApHCC Constitution, By-Laws, Regulations and/or Rules, the Law Review Committee shall make recommendations to the ApHCC Board of Directors.

## **SECTION II - STAFF**

- A. The Personnel Committee shall interview, hire and supervise an Executive Secretary who is bondable and who shall be the office manager reporting to the Personnel Committee and/or the President (CEO). The Executive Secretary shall:
  1. manage the day to day business of the ApHCC, including the Office of Registrar, with staff assistance as required and subject to the Constitution, By-Laws, Regulations and/or Rules of the ApHCC.
  2. provide, and be a resource as required, to the Committees of the ApHCC.
  3. ensure that a capable staff member, or other person, is in attendance, if required, at Executive Committee, Board or General Meetings of the ApHCC to record proceedings and facilitate the accordance of the ApHCC Constitution, By-Laws, Regulations and/or Rules.
  4. record financial books and records of all the accounts of the ApHCC and report same to the Treasurer on a monthly basis. Record and deposit all moneys received by the ApHCC to the credit of the ApHCC. Pay by cheque from the accounts of the ApHCC any liability incurred by the ApHCC, and which liabilities are within the limits of account balance, budget and authorization of the ApHCC, and which liabilities are normally the business of the ApHCC.
  5. be bonded to such amounts as may be deemed reasonable by the Executive Committee from time to time at the expense of the ApHCC.
  6. apply for Member status in the Club or an Affiliate if personally desired or if requested by the Executive Committee, but with the undertaking not to run for election within the ApHCC or accept Delegate status during employment with the ApHCC.
  7. present findings, make recommendations regarding office staffing, interview candidates and report recommendations to the Personnel Committee.
  8. at the instruction of the Nomination Committee Chairperson, distribute and receive in confidential trust all nominations, ballots and reports to and from the Scrutinizers and Constituencies.
  9. edit all material which is to be circulated or published in the name of the ApHCC so as to ensure the contents are consistent with the objectives of the ApHCC, its Constitution, By-Laws, Regulations and/or Rules.
  10. perform such further actions or effort that may be required or contracted from time to time by the ApHCC in accordance with the Constitution, By-Laws, Regulations and/or Rules.

## **SECTION III - DIRECTORS**

Director(s) shall be elected in each Constituency according to [ARTICLE IV B.] and under the encouragement and direction of the Nomination Committee:

- A. Members in good standing resident within each Constituency shall be entitled to nominate and elect Directors who reside in their respective Constituency as follows:

Up to and including:	299	Adult Members in good standing - One Director
	300 to 599	Adult Members in good standing - Two Directors
	600 to 1199	Adult Members in good standing - Three Directors
more than:	1200	Adult Members in good standing - Four Directors

- B. The term of Directors office shall commence on the first (1st) day of January in the year following their respective election or

on the date of appointment. All terms shall conclude not later than the thirty-first (31st) day of December in the final year of the term for which the Director was elected or appointed.

- C. Allocation of Directorships per Constituency shall be calculated from membership records as at the thirty-first (31st) day of December of the year prior to elections.
- D. In the event that membership in a Constituency is reduced as at any thirty-first (31st) day of December, below a membership count which justifies a multiple of Directors as herein, then at the conclusion of the next term of a Directorship in the Constituency, the retiring Directorship will not be contested.
- E. Nominations for Directorship shall be received at the ApHCC National office in writing on or before the fifteenth (15th) day of May, in any year of election, in a respective Constituency, from Members in good standing as a Nominee or as a Nominator, endorsed in writing by the Nominee and two (2) other Members in good standing, who are all resident in the respective Constituency. Endorsers shall not be immediate family members of the Nominee.
- F. Elections shall be by mail ballot contained in a "voting package" which shall be dispatched to Members in good standing, to their last reported address in the respective constituency where the Member is resident, by the ApHCC Executive Secretary's Office, and must be returned to that office in the form and manner as prescribed in the voting package.
- G. Voting packages shall be dispatched to electing constituencies from the ApHCC office during the second (2nd) week of July in any year of an election. The part thereof, as instructed in the "voting package", must be returned to and received at the address as instructed in the "voting package" not later than the fifteenth (15th) day of August of that same year. Election results to be announced within the thirty (30) days immediately following of the fifteenth (15th) day of August.
- H. Directors positions in a Constituency shall be declared by the Nominating Committee to be for an elected period of not more than two (2) years.
- I. It is the duty of the Nominating Committee Member, resident in an electing Constituency to assure there are Nominees for any vacant Directorships. A Nominating Committee Member shall not nominate a person but may endorse Nominees.
- J. If an elected Director of the ApHCC changes Constituency of residence during an election term, the Director shall be obliged to resign, or be deemed to have resigned the Directors position, effective the date of vacating the former Constituency residence.
- K. If a Directorship(s) has been vacated, for any reason after the process of nomination and election has taken place according to the ApHCC Constitution, By-Laws, Regulations and/or Rules, then the Directorship may be filled as in [ARTICLE IV B.6.].
- L. Directors elected in any year shall attend ex-officio at any Board or General meetings which may take place between the time of announcement of election and assuming office pursuant to [SECTION III B.]. A Director-Elect not serving a previous term shall not vote at a Board meeting until assuming office for the elected term as herein
- M. All notice of process of nomination, election or any other announcements of or by the ApHCC Board of Directors or individual board members shall be by publication originating at the ApHCC National office, or as otherwise designated by the Executive Committee, and circulated to a Constituency(s) or all Members and subject to [SECTION I F.] herein.

#### SECTION IV - MEMBERSHIP DUES AND FEES

The Board of Directors shall set fees for all ApHCC membership, registration, inspection, transfer of registration or any other services provided by the ApHCC and publish a list or amendments thereof of fees, charges and/or surcharges, in accordance with terms pursuant to [SECTION I F.] which fees may be further defined in PART 6 of the ApHCC Regulations and/or in the Rules. All fees or charges for Members in good standing shall be less than the fees or charges for the same service or item to a non-member.

- A. Membership Fees are due in advance on the first (1st) day of January annually (any applicable taxes extra):
  - 1. **Adult Member** **Forty-five Dollars (\$45.00)** \$CDN
  - 2. **Youth Membership** **Twenty Dollars (\$20.00)** \$CDN
  - 3. **Family Package** **Sixty Dollars (\$60.00)** \$CDN  
(Includes any persons living as a family with the same principal address. Voting privileges same as one Adult Member. Youth family members must be in compliance with [ARTICLE III B.2.].



4. **Life Membership** **no fee**  
(voting privileges)
5. **Honorary Member** **no fee**  
(no voting privileges)
6. **Associate Member Fees shall be determined by the Board of Directors** for each Associate Member based on the merit as proposed in the application and may be annual for a determined number of years.
7. **Affiliate Membership**
  - a. A **Regional Affiliate** whose application has been accepted by the Board in accordance with [ARTICLE III B.5.f.i.] herein  
  
**Forty Dollars (\$40.00)**                      \$CDN
  - b. A **District Affiliate** whose application has been accepted by the Board in accordance with [ARTICLE III B.5.f.i.] herein  
  
**Twenty Dollars (\$20.00)**                      \$CDN
  - c. A **Foreign Affiliate** whose application has been accepted by the Board in accordance with [ARTICLE III B.5.f.i.] **shall pay publication fees and other fees as determined by ApHCC Board** depending on the applicant's requirements AND  
  
**One Hundred Dollars (\$100.00)**      \$CDN

**SECTION V - AFFILIATES**

- A. All affiliated Members under [ARTICLE III B.5.] must provide minutes of their annual general meeting each year with the submission of membership fees. Changes in elected officers or address of the Affiliate must be reported within thirty (30) days of the change.
- B. The activities of Regional or District Affiliates shall be confined to their mandate as sanctioned by the ApHCC and shall not conflict in any way with the activities or objectives of the ApHCC.
- C. Any sanction of affiliation granted by the ApHCC does not imply or infer the liability of any kind to the ApHCC, its Board or Members for any actions taken by the Affiliate and/or its members regardless of any ApHCC related sanction of the action taken or carried on by the Affiliate.
- D.
  1. A Regional Affiliate for purposes described in [ARTICLE III B.5.f.i.] of the ApHCC Constitution may have individuals and/or clubs affiliated as its members and may apply to the ApHCC for membership as a Regional Affiliate, which membership in the ApHCC, if sanctioned, shall be subject to annual review by the Law Review Committee of the ApHCC.
  2. A Regional Affiliate may designate a delegate to carry votes at any General Meeting of the ApHCC.
  3. Applications for Regional Affiliate Membership are to be submitted to the ApHCC office for consideration, to the attention of the Law Review Committee and should include:
    - a. Name of Proposed Affiliate;
    - b. Address for Correspondence;
    - c. Copy of Certificate of Incorporation;
    - d. Copy of duly authorized By-Laws and Rules complete with up to date amendments;
    - e. List of Signing and Other officers and addresses;
    - f. Membership at present;
    - g. Projected growth and activity plans;
    - h. Activities in the past three years; and
    - i. Annual audited financial statement from the previous three years.

- E.
  - 1. A District Affiliate for purposes described in [ARTICLE III B.5.f.i.] of the ApHCC Constitution may have individuals as its members and may apply to the ApHCC for membership as a District Affiliate, which membership, if sanctioned by the ApHCC shall be subject to annual review by the Law Review Committee of the ApHCC.
  - 2. A District Affiliate may designate a Delegate(s) to carry votes to any General Meeting of the ApHCC.
  - 3. Applications for District Affiliate membership are to be submitted to the ApHCC office for consideration, to the attention of the Law Review Committee and should include:
    - a. Name of Proposed Affiliate;
    - b. Address for correspondence;
    - c. Copy of Certificate of Incorporation (if applicable);
    - d. Copy of duly authorized By-Laws complete with up to date amendments;
    - e. List of Signing and Other Officers and addresses;
    - f. Membership at present;
    - g. Projected growth and activity plans;
    - h. Activities in the past three years; and
    - i. Annual audited financial statements from the previous three years.
  
- F.
  - 1. A Foreign Affiliate as described in [ARTICLE III B.5.f.i.] of the ApHCC constitution may have individuals or clubs as members and may apply to the ApHCC for membership as a Foreign Affiliate, which membership, if sanctioned by the ApHCC shall be subject to annual review by the Law Review Committee of the ApHCC.
  - 2. A Foreign Affiliate representation, voting privilege and/or delegate authorization shall be considered on individual application basis by the ApHCC.
  - 3. Application for membership may be presented for consideration to the ApHCC office to the attention of the Law Review Committee and should include:
    - a. Name of Proposed Affiliate;
    - b. Address for correspondence;
    - c. Copy of Certificate of Incorporation (if applicable);
    - d. Copy of duly authorized By-Laws complete with up to date amendments;
    - e. List of Signing and Other Officers and addresses;
    - f. Membership at present;
    - g. Projected growth and activity plans;
    - h. Activities in the past three years; and
    - i. Annual audited financial statements from the previous three years.
  
- G. Any Affiliate Membership sanctioned by the ApHCC may be withdrawn for cause related to the Constitution, By-Laws, Regulations and/or Rules, including but not limited to, breach of sanction Agreement, at any time by the Board of the ApHCC who shall provide immediate notice to all affected ApHCC Members.

**SECTION VI - VOTING**

- A. Members in good standing may vote through the delegate vote process or in person at each General Meeting. There shall not be votes by any other proxy voting.
- B. Any Member in good standing, who is not a member of the ApHCC Board Of Directors, shall be entitled to a total of one (1) vote, whether voting in person at an ApHCC General Meeting or voting through the delegate vote process.
- C.
  - 1. A vote, if authorized as in [ARTICLE VI A.3.] of the ApHCC Constitution, [SECTION I B.] and [SECTION I H.10.] of the ApHCC By-Laws and carried by a Member in good standing shall be equal to one (1) vote, and similarly for each verified Member in good standing who are confirmed as members of an ApHCC Affiliate which membership shall be proven to the ApHCC Nominating Committee as they shall require and according to the ApHCC By-Laws, [SECTION I B.] and [SECTION I H.10.].
  - 2. An Affiliate, as in [ARTICLE III B.5.f.i.] of the ApHCC Constitution which has been sanctioned, subject to [SECTION I H.10.] of the ApHCC By-Laws shall submit the membership list of the Affiliate for verification of Membership in good standing at least sixty (60) days prior to any General Meeting of the ApHCC, and to the attention of the Nominating Committee, or to the ApHCC National office, or as directed. Within ten (10) days of receiving the report of said membership list the Nominating Committee will confirm, to the officer of the Affiliate

who submitted the membership the number of Members in good standing whose vote may be carried by a Delegate of the Affiliate.

3. An Affiliate duly sanctioned shall be entitled to appoint a Delegate(s) who may attend any General Meeting of the ApHCC and to vote as they have been instructed by the Affiliate.
4. Appointed Delegates attending any ApHCC General Meeting to vote shall carry with them for presentation to the Registrar of the General Meeting, personal identification and a letter of authorization signed by at least two (2) Signing Officers of the Affiliate, or by all of the Members in good standing whom they represent, authorizing the Delegate to vote for the specific number of Members as has been verified subject to [SECTION VI C.2.] herein. If the Delegate is a Signing Officer of the Affiliate then another member of the Affiliate who is a Member in good standing of the ApHCC and not an immediate family member of the appointed Delegate may sign as a third (3rd) signator on the letter of authorization.

## **SECTION VII - THE REGISTER OF APPALOOSA HORSES**

- A. Pursuant to [ARTICLE II C.], standards for documentation of Identification and/or Registration including but not limited to the inspection process of horses, definition of characteristics, required forms, etc. shall be established by the ApHCC Board of Directors and further defined in the ApHCC Regulations.
- B. The ApHCC shall maintain at its office the Register known as the "REGISTER OF APPALOOSA HORSES" and shall make identification available and certificates may be issued indicating the respective level, in accordance with the By-Laws with fees as established by the Board of Directors upon proof of compliance with the ApHCC By-Laws and Regulations.
- C. Horses may be accepted into the Register of Appaloosa Horses through qualification into one of three (3) "BOOKS":
  1. **"THE BOOK OF PARENTAL STOCK"** [P] which is comprised of:
    - a. Appaloosa horses for which an ApHCC Certificate of Registration or Certificate of Pedigree was issued dated prior to Ministerial approval of the Articles of Amendment adding the Appaloosa Horse as an evolving breed.
    - b. All Appaloosa horses for which an ApHC (Moscow, Idaho), Certificate of Registration has been issued and which horses do not have Arabian parents or unknown parents.
    - c. All Quarter Horses for which an American Quarter Horse Association Certificate of Registration or Appendix Certificate or Canadian Quarter Horse Certificate of Registration has been issued.
    - d. All Thoroughbred horses for which a Jockey Club, Kentucky, or Canadian Thoroughbred Society Certificate of Registration has been issued.
    - e. Notwithstanding the above, all horses entered into the Book of Parental Stock shall be of "light horse" body type and further comply with the ARTICLES OF AMENDMENT V A. and B., and shall comply with [SECTION XI A.] ApHCC By-Laws.
  2. **"THE BOOK OF IDENTIFIED APPALOOSAS"** which is comprised of:

Appaloosa horses for which an ApHCC Certificate of Registration or Certificate of Pedigree was issued and dated prior to the date of Ministerial approval of the Articles of Amendment, adding the Appaloosa Horse as an evolving breed, and/or for horses over two (2) years of age which have been entered into "THE BOOK OF IDENTIFIED APPALOOSAS" since the date of Ministerial approval, and application has been submitted, for horses which have been inspected and have qualified for identification in one of the four (4) Levels of Appaloosa Horses which levels are described as follows:

    - a. **Level One [F1]** is comprised of the progeny being of light horse body type, meeting the minimum height requirement and possessing parentage approved by the ApHCC with one (1) parent having recognizable Appaloosa coat pattern, other than solid, resulting from the breeding of:
      - i. A Parental Stock Appaloosa horse classified as having recognizable Appaloosa coat pattern other than solid, to any other Parental Stock horse. The progeny of which may qualify for identification as Level One [F1] ApHCC Appaloosa Horses;

**OR**

- ii. The breeding of one Parental Stock horse to either a F1, F2, F3, or F4 identified Appaloosa the progeny of which may qualify, subject to the terms and conditions established herein, for identification as Level One [F1] ApHCC Appaloosa Horses.

b. **Level Two [F2]** is comprised of the progeny being of light horse body type, meeting the minimum height requirement and possessing parentage approved by the ApHCC with one (1) parent having recognizable Appaloosa coat pattern other than solid, resulting from the breeding of:

- i. A Level One [F1] ApHCC Appaloosa Horse to a Level One [F1] ApHCC Appaloosa Horse;

**OR**

- ii. The breeding of a Level One [F1] ApHCC Appaloosa Horse to either an F2, F3, or, F4 ApHCC Appaloosa Horse, the progeny of which may qualify subject to the terms and conditions hereof for identification as Level Two [F2] ApHCC Appaloosa Horses.

c. **Level Three [F3]** is comprised of the progeny being of light horse body type, meeting the minimum height requirement and possessing parentage approved by the ApHCC with one (1) parent having recognizable Appaloosa coat pattern, other than solid. In addition, said progeny must have one (1) of the recognizable Appaloosa coat patterns, other than solid, or one (1) of the other apparent characteristics of the Appaloosa horse. If the Appaloosa horse has neither the recognizable Appaloosa coat pattern nor one other apparent characteristic of the Appaloosa Horse, it shall be identified as Level 2 [F2] Appaloosa Horse. A Level Three [F3] shall result from the breeding of:

- i. Level Two [F2] ApHCC Appaloosa Horse to a Level Two [F2] ApHCC Appaloosa Horse;

**OR**

- ii. The breeding of an F2 Appaloosa Horse to either an F3 or F4 ApHCC Appaloosa Horse the progeny of which may qualify, subject to the terms and conditions hereof, for identification as Level Three [F3] ApHCC Appaloosa Horses.

d. **Level Four [F4]** is comprised of the progeny being of light horse body type, meeting the minimum height requirement, and possessing parentage approved by the ApHCC with one (1) parent having recognizable coat pattern other than solid. In addition, it must have one of the recognizable Appaloosa coat patterns, other than solid, and it must have one (1) of the other apparent characteristics of the Appaloosa horse OR two (2) of the other apparent characteristics of the Appaloosa Horse. If the Appaloosa horse has only the recognizable Appaloosa coat pattern or one other apparent characteristic of the Appaloosa horse, it shall be identified as a Level Three [F3] Appaloosa Horse. If the Appaloosa horse has neither the recognizable coat pattern nor one (1) other apparent characteristics of the Appaloosa horse, it shall be identified as a Level Two [F2] Appaloosa Horse. Level Four [F4] progeny shall result from the breeding of:

- i. A Level Three [F3] Appaloosa Horse to a Level Three [F3] ApHCC Appaloosa Horse;

**OR**

- ii. the breeding of an F3 ApHCC Appaloosa Horse to an F4 ApHCC Appaloosa Horse;

**OR**

- iii. the breeding of an F4 ApHCC Appaloosa Horse to an F4 ApHCC Appaloosa Horse, the progeny of which may qualify, subject to the terms and conditions hereof, for identification as Level Four [F4] ApHCC Appaloosa Horses.

**\* NOTE: The progeny of F4 to F4 breeding will be classified as F4 Appaloosa horses until such time as sufficient**

numbers of F4 Appaloosa horses are identified to result in Agriculture Canada granting, pursuant to the *Animal Pedigree Act, 1988* "Distinct Breed" status to Purebred Registered Appaloosa Horses.

Notwithstanding the above, any Appaloosa Horse (recognized by the ApHCC or the ApHC, USA) whose number has ApHCC equivalent classification of the prefix "C, N, CP, or R" (an Appaloosa Horse for which Appaloosa coat color is not clearly visible), a Quarter Horse or a Thoroughbred recognized in the ApHCC Book of Parental Stock, may only be bred to an Appaloosa Horse whose number is classified without a prefix with such horse having Appaloosa coat color clearly visible.

3. "THE BOOK OF REGISTRY"

- a. will be activated upon application for Distinct Breed status by the ApHCC to, and approval by, Agriculture Canada under the *Animal Pedigree Act, 1988*.
- b. shall be comprised of ApHCC Purebred Appaloosa Horses [F4] and their progeny resulting from the breeding of an ApHCC Purebred Registered Appaloosa [F4] to an ApHCC Purebred Registered Appaloosa [F4].

D. Recognition of Foreign Registries

1. Appaloosas registered or identified by a Foreign Appaloosa Registry may be recognized by the ApHCC into the Book of Parental Stock or the Book of Identified Appaloosas subject to determination by the ApHCC Board of Directors. In order to receive such recognition, the Foreign Appaloosa Registry must supply the following information to the ApHCC:
  - a. the origin and history of the Appaloosa horse for which the Foreign Appaloosa Registry issues certificates. This origin and history must be compatible with that of the ApHCC.
  - b. Details of the Foreign Appaloosa Registry's registry, pedigree, and record keeping procedures. This information must clearly indicate that this system is accurate and reliable in the view of and at the sole discretion of the ApHCC Board.
  - c. The Foreign Appaloosa Registry must provide the Breed Standards and Rules of Eligibility for which their Appaloosa horses must meet. These Breed Standards and Rules of Eligibility must be compatible with those of the ApHCC.
  - d. A Foreign Appaloosa Registry shall be a National Association, Institution, or Society which is generally recognized in and/or by its country of residence as a registration authority for horses of the Appaloosa breed. Only one Foreign Appaloosa Registry will be recognized by the ApHCC in any country.
  - e. The Foreign Appaloosa Registry must be able to produce a certificate of registration containing at least a two generation pedigree for any Appaloosa horse applying for recognition into the Book of Parental Stock. The Foreign Appaloosa Registry must be able to produce a certificate of registration and at least a three (3) generation pedigree for any Appaloosa horse applying for recognition by the ApHCC into the Book of Identified Appaloosas. Applicants into the Book of Identified Appaloosas must complete the application and meet the requirements of the Evaluation of Appaloosa Horses for Identified Appaloosa Classification [PART 5 F.].
  - f. Additional information as requested and/or required by the ApHCC.
2. Subject to the decision of the ApHCC Board of Directors review, and evaluation, those registries accepted by the ApHCC Board of Directors to become a Recognized Foreign Registry will be submitted to Agriculture Canada for approval into the ApHCC Constitution and By-Laws and pursuant to the *Animal Pedigree Act, 1988*.

**SECTION VIII - ISSUANCE OF CERTIFICATES**

- A. A Certificate of Identification will be issued by the ApHCC to the owners of live Appaloosa Horses applying and which horses qualify for identification in the Book of Identified Appaloosa Horses. Certificates shall contain information as required by these By-Laws, the *Animal Pedigree Act, 1988* and such additional information as may be determined by the ApHCC Board of Directors.

- B. The Breed Standard qualifications for applicant horses shall apply as described in the ARTICLES OF AMENDMENT V A. through F inclusive.

## SECTION IX - PHYSICAL IDENTIFICATION & INSPECTION

- A. The physical identification and inspection of all Appaloosa horses shall be performed in accordance with the By-Laws and Regulations as prescribed by the ApHCC Board of Directors and further:
1. Inspectors shall be qualified, examined, appointed and designated, by the Inspections Committee of the Rules Committee pursuant to the By-Laws [SECTION I D.] and may include but are not limited to Veterinary Doctors. Inspectors are Authorized Representatives of the ApHCC for the purposes of the Rules Committee and/or any other activity as prescribed in these By-Laws and/or Regulations. All Inspector appointments shall be reviewed annually.
  2. The physical identification of all Appaloosa horses shall be by exact description of base color, face and leg markings, coat pattern, other apparent characteristics, notable scars, brands, freeze marks and/or micro-chip or tattoo.
  3. A horse shall be one year of age on the first (1st) day of January following the actual date of birth.
  4. All ApHCC Appaloosa progeny resulting from matings as described in [SECTION VII C.] of the ApHCC By-Laws in its entirety shall be inspected in the manner and by the persons selected and approved by the ApHCC. Applications and appeals of inspection or reports of related irregularities shall be addressed to the ApHCC Rules Committee, Attention: Inspection Committee Chairperson.
  5. The ApHCC or any authorized representative thereof shall have the authority to arrange for the drawing of blood or the taking of hair samples (blood typing or DNA typing) and parentage testing of any ApHCC Appaloosa Horse, in order to verify authenticity of parentage and/or freedom from any known and/or identified genetic disorder. Failure of an owner to comply with this By-Law will automatically place the ApHCC certificate under suspension if the animal holds a certificate, and all privileges of the ApHCC shall be denied until the matter is resolved.
  6. Registration, transfer or any transaction related to ApHCC By-Laws, Regulations or Rules is made on the understanding that all the particulars of the transaction provided by the applicant to the ApHCC are correct. If it is subsequently discovered that particulars are incorrect and confirmed in accordance with the ApHCC Constitution, By-Laws, Regulation and/or Rules, the transaction shall be reversed or cancelled. Any expenses incurred upon the application to re-instate the transaction shall be payable to the ApHCC in full before the re-instatement is confirmed.

## SECTION X - CLASSIFICATION

- A. The "**number**" of a horse shall represent the sequence of application for entry into the Register of Appaloosa Horses.
- B. The "**prefixes**" shall represent:
1. **No Prefix** the horse having one (1) of the recognizable Appaloosa coat patterns, other than solid;
  2. **C** the horse having at least one (1) of the other apparent characteristics of the Appaloosa Horse which may include, mottled skin, or striped hooves, or white sclera;
  3. **N** the horse having the solid Appaloosa coat pattern and no other apparent characteristics of the Appaloosa Horse;
  4. **R** the horse having the solid Appaloosa coat pattern and no other apparent characteristics of the Appaloosa Horse whose certificate was issued prior to 1 January 1999, *[the date of ministerial approval of the ARTICLES of AMENDMENT adding the Appaloosa Horse as an evolving breed]*
  5. **CP** the horse having the solid Appaloosa coat pattern and no other apparent characteristics of the Appaloosa Horse whose parentage has been verified pursuant to the Certified Pedigree Option rules found within the ApHCC Rules.

C. The "suffixes" shall represent:

1. **P** as defined in SECTION VII C.1.
2. **F1** as defined in SECTION VII C.2.a.
3. **F2** as defined in SECTION VII C.2.b.
4. **F3** as defined in SECTION VII C.2.c.
5. **F4** as defined in SECTION VII C.2.d.

D. As of the date of Ministerial approval of the articles of amendment adding the Appaloosa Horse as an evolving breed, progeny resulting from the breeding of an ApHCC Parental Stock Appaloosa to another ApHCC Parental Stock horse, or, any ApHCC Parental Stock horse to an ApHCC Identified Appaloosa, or, an ApHCC Identified Appaloosa to another ApHCC Identified Appaloosa will be issued an identification number as follows subject to the ApHCC Regulations:

<b>Coat Pattern/Characteristics</b>	<b>Prefix</b>	<b>Suffix</b>
Appaloosa Coat Pattern	None	P Parental Stock F1 Level 1 Appaloosa F2 Level 2 Appaloosa F3 Level 3 Appaloosa F4 Level 4 Appaloosa
Appaloosa Mottled Skin &/or White Sclera &/or Striped Hooves (no coat pattern)	C	P Parental Stock F1 Level 1 Appaloosa F2 Level 2 Appaloosa F3 Level 3 Appaloosa F4 Level 4 Appaloosa
No Appaloosa Coat Pattern or Appaloosa Mottled Skin	N	P Parental Stock F1 Level 1 Appaloosa F2 Level 2 Appaloosa

Example:

#38500 (F1): has Appaloosa coat pattern and is identified as Level One [F1] Appaloosa

#C38500 (F4): has Appaloosa mottled Skin and/or white sclera and/or striped hooves and is identified as Level Four [F4] Appaloosa

#N38500 (F2): does not have Appaloosa Coat Pattern or Mottled Skin and is identified as Level Two [F2] Appaloosa

## SECTION XI - UNACCEPTABLE BREEDING AND/OR CHARACTERISTICS

A. All Appaloosa horses must be free of draft, pony, pinto, or albino breeding and show no traits or characteristics of draft, pony, pinto or albino. Continuous leg markings must not exceed the top of the forearms or the top of the gaskin. On the head, white will not exceed a line around the throat latch and behind the ears. Pinto/Paint markings on the body will not be allowed, this type of marking usually has a solid line that does not blend into the base color. Tobiano or overo markings continuous or uninterrupted, larger than twelve (12) centimeters (4.8 inches) in any direction, and which marking has a solid line that does not blend into the base color, will not be allowed.

B. Monorchids or cryptorchids (stallions having one or both testicles undescended) shall not be accepted into the Books of the ApHCC. If a horse is found when two (2) years of age or older to be monorchid or cryptorchid, its certificate will be cancelled. Upon receipt of a veterinarian's certificate verifying that the animal has been gelded, it may be accepted as a gelding. Foals from a proven monorchid or cryptorchid stallion will not be issued a certificate in the Books of the ApHCC unless a veterinarian's certificate of spaying or gelding of said foals is provided. If a certificate has already been issued, it will be cancelled and upon receipt of a veterinarian's certificate of spaying or gelding, the horse will be accepted as a gelding or spayed mare.

C. Genetic Disorders

1. Effective 01 January 2000, any horse applying for identification or registration in the Register of Appaloosa Horses

and carrying a known and/or identified genetic disorder, including, but not limited to, HYPP (Hyperkalemic Periodic Paralysis) and SCID (Severe Combined Immunodeficiency), shall only be eligible for entry into the Register of Appaloosa Horses upon receipt by the ApHCC of Application for Pedigree Registration/Identification and veterinary verification that the horse is a gelding or spayed mare.

2. Effective 01 January 2002, any horse applying for identification or registration in the Register of Appaloosa Horses and carrying a known and/or identified genetic disorder, including, but not limited to, HYPP (Hyperkalemic Periodic Paralysis) and SCID (Severe Combined Immunodeficiency), shall be denied identification or registration with the ApHCC.
  3. Any ApHCC applicant or registered horse may be required, at the sole discretion of the ApHCC, to undergo testing to screen for any genetic disorder. Such testing will be performed by an ApHCC Authorized Representative, at the owner's expense, and the findings noted on the horse's certificate.
  4. Failure of an owner to comply with a request of the ApHCC for such testing will result in the rejection of the Application for Pedigree Registration/Identification or withdrawing of registration/identification status of the horse. Such non-compliance may further be subject to review by the ApHCC Law Review Committee.
- D. No mare or stallion two (2) years of age or older may be accepted into the Register of Appaloosa Horses if it has an overbite or underbite of over three-quarters (3/4's) of a tooth unless the horse is verified as a gelding or spayed mare.
- E. The circumference of the cannon bone of an applicant horse must be not less than six (6) inches or more than nine (9) inches at the smallest place on the cannon bone of a front leg between the fetlock joint and the knee joint by not later than five (5) years of age.

## **SECTION XII - THE BREEDING OF HORSES, APPLICATION FOR PEDIGREE REGISTRATION, AND INSPECTION OF HORSES**

The breeding and identification of horses shall be performed in accordance with the By-Laws and Regulations as prescribed by the ApHCC Board of Directors and further:

- A. The **Breeding Aim** is to produce well bred Appaloosa horses which are of "light horse" body type, free of "draft horse" or "pony" body types; a horse which is structurally stable, correct in conformation, well balanced, versatile, capable of being used for pleasure, performance, work, or racing, with one of the recognizable Appaloosa coat patterns, other than solid and one or more other apparent characteristics.

### **B. Breeding**

Appaloosa Horses shall be bred in accordance with the By-Laws [SECTION VII], and further:

1. a. An animal registered with the ApHC (Moscow, Idaho) and owned by a resident of a Constituency of the ApHCC must apply and qualify for acceptance into the Register of Appaloosa Horses of the ApHCC before that animal's progeny are eligible for acceptance into the ApHCC Register of Appaloosa Horses.
- b. All Appaloosa horses for which ApHC (Moscow, Idaho) registration has been issued and which horses are owned by a resident of a country other than Canada, and, all Quarter Horses for which an AQHA Certificate of Registration or Appendix Certificate, or Canadian Quarter Horse Certificate of Registration has been issued, regardless of the country of residence of the owner, and, all Thoroughbred horses for which a Jockey Club, Kentucky, or Canadian Thoroughbred Society Registration has been issued regardless of the country of residence of the owner will require a copy of the complete original Certificates of Registration certified as follows:

*"I hereby certify this to be a true copy of the original unaltered document made before me this \_\_\_day of \_\_\_\_\_, 20\_\_ at the City/Town of \_\_\_\_\_.*

*Signature"*

Signed as certified by the owner/applicant to be on file at the ApHCC office in order for a horse to be recognized in the "Book of Parental Stock" and in order for that horse's progeny to be eligible for application into the Register of Appaloosa Horses.



2. Exposure of Mares to Stallions
  - a. Mares shall be exposed to stallions in such a manner as to reach the breeding aim and to ensure the accuracy of the parentage of the resulting progeny.
  - b. All stallions used in ApHCC breeding programs must have DNA or blood type report on file with the ApHCC before their offspring may enter into the ApHCC Register of Appaloosa Horses.
  - c. 45 Day Requirement: Once a mare has been exposed or bred to one stallion, at least forty-five (45) days must elapse before the mare can be re-bred to a different stallion. If a mare is exposed to more than one stallion in less than 45 days, the resulting foal must have parentage verification applied for, at the time of application for registration of pedigree. The owner will be responsible for all costs involved. Once parentage is verified and all application requirements met, in accordance with the terms and conditions herein, the foal's certificate will be issued.
  - d. Pasture Breeding: Pasture breeding is acceptable if only one stallion will run with a mare or group of mares. It is the owner's responsibility to ensure that these horses are enclosed by fences maintained in such a manner to prevent any other stallion from breeding a mare in that area.
3. Artificial Insemination, Transported Semen, Stored Semen
  - a. Artificial Insemination
    - i. The owner of a mare, when bred by the use of transported semen (cooled or frozen) or frozen semen, shall have the mare identified by the attending Veterinary Doctor or licensed technician.
    - ii. When transported semen (cooled or frozen) or frozen semen is used to inseminate a mare, the mare must be blood typed or DNA tested. An application to blood type or DNA must accompany the application for pedigree registration of the resulting foal.
    - iii. All foals resulting from transported (cooled or frozen semen) or frozen semen must be parentage verified.
    - iv. The ApHCC has the authority to inspect the premises and practices of any person or breeding establishment using artificial insemination.
    - v. An artificial insemination technician or Veterinarian must be used for transported semen and/or insemination of mares not owned by the stallion owner.
    - vi. Artificial insemination of fresh or frozen semen on the premises of the owner of the mare and stallion is permitted. Mare and stallion must be registered to the same owner and housed on the same property.
    - vii. There is no limit placed on the number of breedings via artificial insemination be it fresh semen, transported semen (cooled or frozen) or frozen semen.
  - b. Frozen Semen
    - i. Frozen semen and stallion are considered separate entities.
    - ii. Frozen semen is considered the property of the registered stallion owner at the time of collection unless otherwise indicated on the application form.
    - iii. If a stallion is sold, semen that has been collected and frozen must be indicated on the transfer form (must include number of vials) in order for resulting foals to be registered.
    - iv. The person owning the frozen semen must obtain "FROZEN SEMEN CERTIFICATES" from the ApHCC (one (1) per foal to be registered). This must accompany the frozen semen and be attached to registration applications for any resulting foals.

- v. In the event of the death of the stallion, the ApHCC will allow the registration of foals resulting from artificial insemination by frozen semen on record, provided that all ApHCC terms and conditions have been fulfilled. No application for freezing of semen will be accepted after the death of a stallion.

#### 4. Embryo Transfer

- a. Embryo transfer, transport of embryos or freezing of embryos is permitted only upon application and permission of the ApHCC prior to the procedure being performed.
- b. A written report of the blood and/or DNA type of the donor mare obtained from an approved laboratory must be on file with the ApHCC prior to breeding.
- c. An application to blood and/or DNA type must accompany the application for registration of the resulting foal.
- d. All foals resulting from embryo transfer must be parentage verified.
- e. A Veterinary Doctor must be used for the collection and transfer procedure.
- f. If an embryo transfer procedure applied for is not attempted in the designated year, the ApHCC must be notified in writing by December 1 of the designated year. Without such notification, any foal produced by the mare named in the application will require blood typing or DNA testing, to be eligible for registration.
- g. There is no limit placed on the number of foal registrations as a result of ApHCC approved embryo transfers from a mare.
- h. In the event of sale or death of the mare, similar rules as for frozen semen will apply to frozen embryos.

#### 5. Stallion Reports and Breeder/Service Certificates

- a. The owner(s) of any stallion used in an Appaloosa breeding program must file, with the ApHCC, a yearly "Stallion Breeding Report" prior to November 30 of each year. The report shall include a list including complete registration numbers of all mares exposed to the stallion, the dates of service, and the type of service (pasture bred, hand bred, artificial insemination, transported semen). Stallion Reports received by ApHCC office after December first in the year of service will be subject to a late filing fee.
- b. The stallion owner at the time of service or his authorized representative must sign the stallion report. Signatures of representatives or other than the owner of a horse will only be acceptable when a "Signing Authority Form" has been completed and filed with the ApHCC.
- c. The owner of any stallion used in an Appaloosa breeding program shall at the time of service, deliver to the mare owner a Breeder/Service Certificate (in the form prescribed by the ApHCC) unless a contract in writing is in existence between the mare owner and stallion owner whereby the mare owner is to receive a Breeder/Service Certificate when the terms of the contract are fulfilled

### C. Requirements for Application for Pedigree Registration

- 1. All applications for entry into the Register of Appaloosa Horses, shall be made on a form provided by the ApHCC and entitled "Application for Pedigree Registration". The form shall be completed in ink or typed with all relevant information as to sex, first and second choice names, date foaled, description, breeding, breeder and owner, and description of color, Appaloosa characteristics, color of mane and tail, and identification of all markings, scars and brands etc., and, pursuant to all the terms and conditions of the ApHCC Constitution, By-Laws, Regulations and/or Rules.
- 2. All applications shall be accompanied by:
  - a. Sufficient colored pictures as described herein [SECTION XII E.1.].

- b. The correct fees for registration as specified in the ApHCC Regulations or as designated from time to time by the ApHCC Board of Directors.
  - c. A "Breeder/Service Certificate" from the ApHCC, or a reasonable facsimile thereof, properly completed with the required information and signed by the owner of the sire of the horse for which the application is being made. The mare owner at the time of service must also sign the Breeder/Service Certificate. Notwithstanding, if the owner of the Stallion and the Mare are the same at time of breeding and foal birth and a duly completed Stallion report has been accepted by ApHCC then "Breeder/Service Certificate" will not be required.
3. When an animal is a twin it shall be so stated on the application form and the sex given of the animal with which it is a twin. Should a twin be entered upon the record without such statement, no subsequent application for entry of the animals' twin shall be accepted by the ApHCC.
  4. The breeder of a horse is the owner or lessee of the dam at the time she was serviced to produce the foal. The owner of the foal at birth is deemed to be the owner or lessee of the dam at the time of foaling. The owner or lessee of the dam at the time of foaling is responsible for the Application of Pedigree Registration of the foal and will be listed on the certificate as the owner of said foal unless a transfer of ownership and transfer fee accompanies the registration application.
  5. The sire and dam of a foal must be included in The Book of Parental Stock or The Book of Identified Appaloosa Horses or The Book of Registry and breeding shall have occurred pursuant to the Constitution, By-Laws and/or Regulations of the ApHCC.
  6. The stallion report for the sire must have been filed with the ApHCC covering the year in which the applicant horse was conceived.
  7. All requirements and conditions of the By-Laws [SECTION VII] and [SECTION VIII] must be met.

#### D. Names

1. The number of letters and spaces in names of animals being registered shall be restricted to twenty (20) letters and spaces, including letters, spaces, punctuation marks and numerical affixes. Letters and numerals can be used as a prefix or suffix in a name for registry.
2. Duplicate names shall not be permitted. The right is reserved to change a name when necessary preserving, however, as far as possible some characteristics of the name given in application.
3. It shall not be permissible to change the name of an animal after it has been entered in the Register of Appaloosa Horses if:
  - a. Progeny from the animal has been entered into the Register of Appaloosa Horses;
  - b. A registered Herd Name is deleted from the animal's name unless written permission to do so is obtained from the registered owner of the Herd Name;
  - c. The animal has started in a race under Pari-Mutual betting or any race which is approved by the ApHCC;
  - d. The horse has accumulated points at a recognized ApHCC show or event.
  - e. The ApHCC will not register herd names. Breeders who have had a herd name registered with the ApHCC will be protected until their death or until such time as they no longer use that herd name. After the expiration of two (2) years, a registered or recorded Herd Name will be forfeited if it has not been used during that period by the registered owner in registering or recording animals. The remaining Herd Names shall either be declared prefixes or suffixes.
  - f. The ApHCC reserves the right to refuse any animal name which may be misleading as to the origin or relationship of an animal. If an animal has been previously registered in the Stud Book of an approved association, the name under which the animal is entered in the Register of Appaloosa Horses shall be the name under which it was previously registered unless such name has been preempted by prior use in ApHCC records, in which case an acceptable name as close as possible to the previous name shall be used.

## E. **Inspection**

The Inspections Committee will provide for:

### 1. Photo Inspection

The inspection of mares, stallions, and geldings shall be done by the examination of recent colored photographs submitted to the Registrar. Such photographs shall be classified as "Photo Inspections" and include the following:

- a. All "Applications for Registration of Pedigree" shall be accompanied by at least four current, color photographs sufficiently close-up to prove the presence and/or absence of:
  - i. mottled skin;
  - ii. white sclera;
  - iii. striped hooves;
  - iv. markings and spots on legs, face and body;
  - v. base color;
  - vi. Appaloosa coat pattern;
  - vii. notable scars; and
  - viii. brands, tattoo and/or freeze mark.
- b. Photographs shall be: direct front view, left side view, right side view, and direct hind view.
- c. The name of the applicant horse, date the photograph was taken and name and address of the person taking the photograph must be clearly labelled on the back of each photograph submitted.
- d. If an applicant horse is two (2) years of age or older at the time of Application for registration in "The Book of Identified Appaloosas", then an Authorized Representative who may be a "Certified Inspector" or a "Veterinary Doctor", must complete an "Evaluation Form" which shall be provided by the ApHCC. The Evaluation Form will require the Authorized Representative to supply information verifying the height of the horse, whether the horse is monorchid or cryptorchid (stallions), whether the horse has an overbite or underbite (stallions and mares), and other information as may be required by the ApHCC and in accordance with the terms and conditions hereof.
- e. The Registrar may accept or deny photo inspection material, or request redo or further inspection as prescribed herein.
- f. The owner of any applicant horse which has been classified as "C", "CP", "R" or "N", may complete "Application to Change Classification Status" and submit additional photographs to show that the horse has Appaloosa coat pattern and/or characteristics.
- g. The owner of any applicant horse which has changed description sufficiently to be in contradiction to the presently held certificate in respect of that animal should apply to the ApHCC to have the description updated. A new certificate may be issued by the ApHCC in respect to that animal, upon application by the owner thereof, submitted in the form prescribed, including submission of the original certificate, colored photographs of the animal as prescribed herein and sufficient in number to describe all markings of the animal, identifying the corrected description of the animal, and the required fee. Sworn affidavits, DNA or blood typing may be required to verify horse identification.
- h. The use of Polaroid photographs and "re-touching" and/or enhancement of photographs in anyway is strictly prohibited and subject to review by the Law Review Committee.

### 2. Field & Breeding Stock Inspection

In order to identify qualification, classification, or re-classification of Breed Standards or status, a Field or Breeding Stock Inspection may be required by the Registrar. The Breeding Stock Inspection as in [SECTION XII E.4.] may be included with, or as part of, a Field Inspection as in [SECTION XII E.1.d.] and/or [SECTION XII E.3.] of the ApHCC By-Laws and/or PART 5 F.) of the ApHCC Regulations. Such inspection may include, but is not limited to:

- a. DNA or Blood test

- b. Visual inspection and recording of:
  - i. mottled skin;
  - ii. white sclera;
  - iii. striped hooves;
  - iv. markings and spots on legs, face and body
  - v. base color;
  - vi. Appaloosa coat pattern;
  - vii. notable scars; and
  - viii. brands, tattoo and/or freeze mark
- c. Height
- d. Measurement of the circumference of the cannon bone to determine structural stability and "light horse" body type
- e. Visual inspection and recording of any unacceptable characteristics of draft, pony, pinto, albino, monorchids or cryptorchids, overbite or underbite.

### 3. Field Inspection

Field Inspections may be required at any time by the Registrar or by terms and conditions herein. Field Inspections study a horse to determine if the horse exhibits Appaloosa coat pattern and/or characteristics, unacceptable characteristics, height requirement and light horse body type. All ApHCC Field Inspections are arranged and Field Inspectors are selected by the ApHCC Inspections Committee. The owner of the applicant horse is responsible to pay all costs and fees associated with the inspection. A Field Inspection may be required when, but is not limited to, the following:

- a. Any applicant horse whose photographs appear to show any of the unacceptable characteristics and pursuant to [SECTION XI.].
- b. Any horse which has been classified as "C", "CP" or "N" whose "Application to Change Classification Status" and current photographs have been rejected, may be classified as "Field Inspection Required" by the Registrar.
- c. Any horse which has changed description sufficiently to be in contradiction to the presently held certificate in respect of that horse, or which horse has or has not changed during growing years to be in contradiction with any of the terms and conditions hereof, or whose application for corrected description has been rejected may be classified as "Field Inspection Required" by the Registrar.

### 4. Breeding Stock Inspection

A Breeding Stock Inspection is required for any stallion or mare identified as Level Three (F3) or Level Four (F4) Appaloosa Horse in order for their offspring to be eligible for entry into the Register of Appaloosa Horses. The horse owner will pay the required fee for the Breeding Stock Inspection. The Breeding Stock Inspection may be performed by an ApHCC appointed Inspector. Breeding Stock Inspections shall include the following:

- a. Verification that the Appaloosa Horse is of light horse body type; free of draft horse or pony body types.
- b. Verification that the Appaloosa Horse is of fourteen (14) hands high or taller at the withers by not later than five (5) years of age.
- c. Verification that the Physical Identification of the Appaloosa Horse is recorded correctly on the horse's Certificate of Identification.
- d. Verification that the Classification of the Appaloosa Horse is recorded correctly on the horse's Certificate of Identification.
- e. At the sole discretion of the Inspector, testing may be directed to determine the presence of any genetic disorder, pursuant to [SECTION XI C.3.].

### **SECTION XIII - PRIVATE BREEDING RECORDS**

- A. Each breeder shall keep a private record which contains full details of his breeding operation. This record shall include, but is not limited to, the description of each horse and information concerning ancestors and breeding of same, that will make clear and positive at all times the parentage of a horse offered for acceptance into the ApHCC Register of Appaloosa Horses.
- B. Private Breeding records shall at all times be open to inspection by ApHCC Authorized Representatives and officials of Agriculture Canada. If such inspection should reveal that the private breeding records and identifications as practiced by a breeder are in such a state of confusion as to raise a doubt as to the identification of any number of or all the horses in the herd, the breeder's rights and privileges may be suspended pending proof of parentage as in [SECTION IX A.4.]

### **SECTION XIV-AMENDMENT, TRANSFER, AND CANCELLATION OF CERTIFICATES**

The amendment, transfer, and cancellation of certificates of all Appaloosa horses shall be performed in accordance with the Regulations as prescribed by the ApHCC.

### **SECTION XV - FORMS**

Application for Pedigree Registration, Transfer of Ownership, Stallion Report, Breeder/Service Certificate, Certificate Changes, and other forms or applications must be made on forms supplied by the ApHCC and/or approved by the ApHCC Board of Directors.

### **SECTION XVI - GENERAL**

- A. These By-Laws shall be interpreted in accordance with the ApHCC Constitution and shall be precedent to the Regulations and Rules of ApHCC.
- B. These By-Laws may be amended in accordance with [ARTICLE VII D.] of the ApHCC Constitution.
- C. Unless specifically identified in these By-Laws the singular shall include the plural, the masculine, feminine and the neuter.
- D. Titles and subtitles herein do not form a part of these By-Laws and are to improve visible accessibility only.

## REGULATIONS

### PART 1: AUTHORIZATION

- A. Any recorded owner may authorize another party to sign ApHCC related transactions on such owners' behalf, HOWEVER, the recorded owner must file an appropriate authorization form with the ApHCC before the signature will be recognized.
- B. Any corporation, or partnership or individual which has horses recorded to its ownership must file the appropriate authorization form with the ApHCC to designate the signing authority. This will provide documentation as to who may sign ApHCC related transactions on the behalf of that corporation, partnership or individual and no other signature will be recognized without court order(s) or duly completed corporate documents.
- C. Pursuant to the laws of property and trust in the Provinces and Territories of Canada, a minor child may not hold property.
  - 1. If the beneficial owner of a horse, named in an ApHCC document, is under the age of majority in the Province of residence of the named beneficial owner, then a trustee must sign and be named as the owner/applicant/purchaser in the designated areas of the respective document or form, as “[name of minor person], trustee [name of parent, guardian, or other trustee]”. The trustee shall declare the relationship to the minor child, ie. parent, guardian, etc.
  - 2. When for any reason the trustee is unwilling or unable to act, during the age of minority of a beneficial owner, then a successor trustee must be named on a letter of request and transfer form signed by the outgoing and successor trustee and submitted to ApHCC.
  - 3. At any time following the attaining of majority by a beneficial owner, named in an ApHCC document, a letter of renunciation of trust and a transfer form, signed by the trustee and the beneficial owner accompanied by a certified copy of a birth certificate or other acceptable proof of age may be submitted to ApHCC to effect a change in the records.

### PART 2: BLOOD TYPING & DNA TYPING

- A. ApHCC or any authorized representative thereof shall have the authority to arrange for the drawing of blood for blood typing or for taking of samples for DNA typing, to effect parentage testing of any ApHCC Appaloosa horse in order to verify authenticity of parentage and or freedom from any known and/or identified genetic disorder. Failure of an owner to comply with this regulation will automatically place the ApHCC certificate under suspension if the horse(s) in question holds a certificate. The owner shall be denied all privileges of the ApHCC, excepting appeal, until the matter is resolved.
- B. The ApHCC will conduct random parentage testing of Application for Pedigree Registration applicants. The cost of the laboratory fees and a maximum of fifty dollars (\$50.00) per horse will be paid by the ApHCC for each applicant which is tested.
- C. Any owner may request the parentage verification of a foal at the time of Application for Registration of Pedigree or for a horse which already holds an ApHCC certificate. The owner shall be responsible for all costs relating to the parentage verification in this instance.
- D. All stallions used in an ApHCC Appaloosa breeding program must have DNA or blood type on file with the ApHCC in order for that stallion's offspring to be eligible for entry into the Register of Appaloosa Horses.
- E. Only laboratories recognized by the ApHCC may be used for DNA and/or blood typing, and/or parentage verification as may be required from time to time by the ApHCC.

### PART 3: MICROCHIPPING, TATTOOING, FREEZE MARKING, AND BRANDING

- A. Microchipping, tattooing, freeze marking, and/or branding are “changes of markings” and as such require a certificate change pursuant to ApHCC REGULATIONS PART 5: C.
- B. Any Appaloosa Horse may have a microchip implant as a means of identification. The owner may apply to the ApHCC and purchase a microchip from the ApHCC. Implanting by a Veterinary Doctor is mandatory. If an ApHCC horse or applicant horse is purchased with a microchip implanted ApHCC must be informed. A statement that the horse has been microchip implanted will be recorded on the horse's certificate.

- C. All race horses must be tattooed by an official representative of the ApHCC.
- D. Microchip implant, tattoo, freeze marks, brands or any other identifying marking or apparatus may NOT be added, applied, implanted or otherwise used if the description on the Certificate does not match the horse to which a marking is proposed.

**PART 4: TRANSFER OF OWNERSHIP & LEASES**

A. Transfer of Ownership Requirements;

1. In the case of the sale of a horse which holds a certificate in the Register of Appaloosa Horses, the seller is obliged, to supply the duly transferred certificate to the buyer within six (6) months after the date of sale in accordance with the terms and conditions of the *Animal Pedigree Act, 1988*. Refusal to do so under any pretext whatsoever, except under written agreement to the contrary, dated and signed by the vendor and the purchaser, shall be grounds for suspension or expulsion from the ApHCC if a Member; if not a Member, any applications for registration or transfer shall be denied until the matter is resolved.
2. The application for "Transfer of Ownership" must be completed in ink or type written and must be signed by the owner or authorized individual(s). The date of the sale must be recorded on the application for "Transfer of Ownership".
3. When submitting an application for "Transfer of Ownership" the seller shall attach the original certificate, the original completed application for "Transfer of Ownership", and the correct fees. Upon receipt of a "Transfer of Ownership", the ApHCC shall verify that all particulars are complete, make the official change of ownership in the records of the ApHCC, attest the "Transfer of Ownership" on the reverse of the certificate, and return the certificate to the new owner.
4. If the animal being transferred is a bred female, a Breeders/Service Certificate shall accompany the application for "Transfer of Ownership" to the ApHCC, and upon completing the transfer, shall forward the Breeders/Service Certificate with the horse's certificate to the new owner.

B. Transfer Without Signature of Recorded Owner

If legal title to an Appaloosa horse passes from a person by reason of death, foreclosure of any lien, or by order or decree of the court, such as, but not limited to matrimonial property division, then ApHCC shall transfer the registration certificate of such horse to the new designated owner:

1. Upon order of the Court or satisfactory proof in the opinion and at the sole discretion of the ApHCC of authority for the transfer; and
2. Upon the ApHCC receiving payment of the transfer fee and any costs and/or expenses of investigation or process which may be deemed necessary by the ApHCC.

C. Sale Without Certificate

1. If a registered animal is sold without the certificate, the owner must surrender the original certificate of registration to the ApHCC along with a signed statement advising that the animal has been sold without papers.
2. Upon the receipt of the certificate and statement signed by the recorded owner(s), the certificate will be cancelled and no subsequent transactions involving that animal after the date of cancellation will be recognized.
3. Failure to comply herewith and/or with any ApHCC terms and conditions is a contravention of the ApHCC Bylaws, Regulations and Rules and upon discovery is referable to the Law Review Committee.

D. Leases

1. For the lease of a horse to be recognized by the ApHCC, whether for breeding, racing, showing or performance purposes, a duplicate copy of the lease must be filed with the ApHCC, signed by both the lessor and lessee and submitted with the appropriate fee.
2. The notice shall provide the effective date of the lease and may provide a termination date. Otherwise, it may be terminated by written notice, giving the termination date, signed by both lessor and lessee, or by a properly executed application for transfer of ownership from the lessor to lessee and which is signed by the lessor.



3. In regard to ApHCC procedures, the recording of a lease authorizes a lessee to execute all documents pertaining to the recognized activities of breeding, racing, showing or performance, provided that, to so authorize would not be in direct conflict with the provisions of the lease agreement, in the opinion, and at the sole discretion of the ApHCC Board.
4. Transfer of ownership arising from recognized claiming races will terminate such lease.
5. During the effective term of the lease, the ApHCC will not record subsequent changes in ownership until the lease is terminated.

**PART 5:        **DUPLICATE CERTIFICATES, REPLACEMENT CERTIFICATES AND CERTIFICATE CHANGES****

For any application as described in REGULATIONS, PART 5 or in SECTION XII, D, for which the applicant horse is recognized as an ApHCC Parental Stock Appaloosa Horse (certificate issued prior to 1 January 1999), the owner has two options pertaining to the amendment of the certificate:

1. Completion of the application as described in REGULATIONS, PART 5 or IN SECTION XII, D, amending the certificate with the horse remaining in the Book of Parental Stock.
2. Evaluation for Identified Classification and completion of the application as described in REGULATIONS, PART 5 or in SECTION XII D, with the horse advancing to the Book of Identified Appaloosas (new certificate issued). The procedures as described in PART 5. F. will apply, however, the Identified Appaloosa Classification fee (one applicant horse) will not be levied when such evaluation is part of another application.

A. Duplicate Certificates:

1. May be provided or issued if the owner or authorized agent files a statutory declaration giving full particulars of name, sex, number, description and breeding showing in a satisfactory manner that the original is lost or destroyed, and submit payment of the prescribed fee.
2. Sufficient photographs of the horse must accompany the application for Duplicate Certificates in accordance with ApHCC By-Laws [SECTION XII E.1.].
3. Statutory declaration forms for Duplicate Certificates may be obtained from the ApHCC office.
4. No more than one duplicate will be issued in any 12 month period.
5. An ApHCC Certificate confiscated when registering in a foreign Appaloosa registry will be considered lost and a duplicate may be issued in accordance with these Regulations.

B. Replacement Certificates:

1. A certificate may be replaced when the original has been torn, mutilated, soiled or otherwise defaced, provided the original certificate is identifiable.
2. The owner must submit the original certificate to the ApHCC and pay the prescribed fee.

C. Certificate Changes:

1. It shall be the owner's responsibility to apply for amendment of a horse's certificate when any change of markings, and/or color of any ApHCC registered horse occur.
2. The application shall be submitted within six (6) months of the date of the change.
3. Such changes of markings as noted above shall include the addition of a brand, freeze mark, tattoo, correction of face or leg markings, etc., and such changes to color shall include roaning, graying, etc.
4. The recorded owner may request an amendment to the recorded markings and/or color of a horse by completing a "Change of Description" form supplied by the ApHCC and submitting same to the ApHCC along with the original

certificate, photographs clearly showing all markings of the horse and changes to be recorded, and the prescribed fee.

5. It shall further be the owner's responsibility to apply for amendment of a horse certificate when any change is effected to the gender status of a horse, such as a stallion gelded or a mare spayed.
6. A report indicating the date of gelding or spaying signed by the owner, along with the certificate and the prescribed fee must be sent to the ApHCC immediately following the change of gender status. The certificate will be stamped "Gelding" or "Spayed Mare" unless the owner requests a new certificate, at which time the replacement certificate fee will apply in addition to the gelding/spayed mare revision fee.
7. Certificates issued as gelded or spayed shall not be rescinded under any circumstance and progeny claimed from a horse with a certificate noting gelding or spayed shall not be eligible into the Register of Appaloosa Horses.
8. Such changes shall be made in accordance with ApHCC By-Laws [SECTION XII E.1.]

D. Changes of Classification

1. The owner of any horse which has been classified as "C", "CP", "R", or "N" which horse has developed Appaloosa coat color and/or Appaloosa mottled skin and Appaloosa white sclera or striped hooves, may apply to have that horse's classification changed.
2. The owner may request such amendment by completing an "Application to Change Classification" form supplied by the ApHCC and submitting same to the ApHCC along with the original certificate, photographs, as per the bylaws, clearly showing the Appaloosa coat color and/or Appaloosa mottled skin and/or Appaloosa white sclera and/or striped hooves, enclosing also the prescribed fee.
3. Such changes shall be made in accordance with ApHCC By-Laws, [SECTION XII E.1.]

E. Death

Upon the death of a horse, its original certificate along with a statement signed by the owner advising the date of death, must be sent to the ApHCC for recording and cancellation of the certificate. If requested, the cancelled certificate may be returned to the recorded owner.

F. Evaluation of Appaloosa Horses for Identified Appaloosa Classification

Appaloosa horses, for which an ApHCC Certificate of Registration or Certificate of Pedigree was issued dated prior to the date of Ministerial approval of the articles of amendment adding the Appaloosa Horse as an evolving breed may apply for identification in one of the four (4) Levels of Identified Appaloosa Horses. Appaloosa horses over two (2) years of age which have been entered into "THE BOOK OF IDENTIFIED APPALOOSAS" since the date of Ministerial approval may apply for additional evaluation of their identification in one of the four (4) Levels of Identified Appaloosa Horses. The following procedures shall be followed:

1. An "Application for Identified Appaloosa Classification" must be completed and signed by the owner. The horse's original Certificate of Registration, Pedigree, or Identification must be submitted with this application along with the prescribed fee.
2. A Veterinary Doctor or ApHCC Inspector must complete an "Inspector or Veterinarian Evaluation Form" supplied by the ApHCC. This form will require the Inspector or Veterinarian to supply information on the height of the horse, whether the horse is monorchid or cryptorchid (stallions), whether the horse has an overbite or underbite (stallions or mares), and/or other information as may be required by the ApHCC [SECTION XII E. 2.].
3. The owner shall submit at least four (4) current, color photographs of the horse and all information as in the ApHCC By-Laws [SECTION XII E.1.].
4. The "Application for Identified Appaloosa Classification", the "Inspector or Veterinarian Evaluation Form", and the current, color photographs of the horse will be examined by the ApHCC Registrar. The pedigree of the applicant horse will also be evaluated to determine the lineage of ApHCC ancestry which shall be the same as defined in [SECTION VII C.2.] and/or eligible ApHCC Recognized Foreign Registries. Any applicant horse as applied for herein, shall be subject to the determination of all parts of the evaluation.

G. Naming of Race Horses

Notwithstanding ApHCC Bylaws, SECTION XII D. 3. F. in the event that a horse applying for an ApHCC Certificate has been raced under pari-mutual betting in a foreign country and has a name registered with a Foreign Registry, which is duplicated in the ApHCC Register of Appaloosa Horses, then the ApHCC Registrar shall insert the initials of the country of the Foreign Registry in brackets following the horse's name on the new certificate [eg. RAIL RUNNER (USA)].

H. Owners Name Change

1. Should an owner's name change for reason of marriage, divorce, also known as, or other reason, it shall be the responsibility of the owner to apply for amendment to any horses' certificate(s). The owner must complete the appropriate form, submitting same to the ApHCC along with the original certificate and the prescribed fee. The applicant may be asked for documentation.
2. If a company or partnership has changed its name the appropriate legal documents shall be submitted in addition to the above.

I. Horses Name Change

A horse's name may be changed in accordance with SECTION XII, D, when the owner submits the appropriate form, the horse's certificate and the prescribed fee.

**PART 6: PROTEST OF QUALIFICATION, CLASSIFICATION AND/OR STATUS**

Any owner of a horse entered into the Register of Appaloosa Horses, or any Member of the ApHCC may protest the qualification, classification, and/or status of any horse entered into the Register of Appaloosa Horses by filing the protest form and applicable fees addressed to the ApHCC Law Review Committee.

**PART 7: FEES**

Fees subject to applicable federal and/or provincial taxes

A.	<b>Pedigree Registration Fees</b>	<b>Member</b>	<b>Non-Member</b>
	Foals from birth to 6 months of age	\$45.00	\$90.00
	Foals – 7 <sup>th</sup> month to 12 months of age	\$60.00	\$120.00
	Yearlings – to December 31 <sup>st</sup> of yearling year	\$110.00	\$170.00
	Two Year Olds – Jan 1 – Dec 31 of 2 year old year	\$200.00	\$265.00
	Stallions & Mares after Dec 31 of 2 year old year	\$250.00	\$300.00
	Geldings/Spayed Mares after Dec 31 of weanling year	\$60.00	\$120.00
	ApHC or other foreign registry to ApHCC	\$60.00	\$120.00
B.	<b>Transfers</b>		
	Transfer of Ownership	\$20.00	\$65.00
	Transfer of Ownership at time of Pedigree Registration	\$10.00	\$65.00
	Owner Name Change and/or removal of trustee	\$20.00	\$65.00
C.	<b>Certificates</b>		
	Duplicate or Replacement Certificates	\$50.00	\$100.00
D.	<b>Revisions to Certificates</b>		
	Correction requested by owner, where regulations permit	\$30.00	\$75.00
	Change of Description	\$30.00	\$75.00
	Gelding/Spayed Mare Revision	\$20.00	\$65.00
	Change of Name	\$100.00	\$150.00
	Change of Classification	\$30.00	\$75.00
	Identified Appaloosa Classification (one applicant horse)	\$30.00	\$75.00
	Identified Appaloosa Classification (applicant horse plus its sire & dam)	\$30.00	\$130.00

E.	<b>Stallion Related Fees</b>	<b>Member</b>	<b>Non-Member</b>
	Stallion Report Filing Fee (by Nov. 30 of breeding year)	\$25.00+ \$3/mare	\$70.00+\$5/mare
	Stallion Report Late Fee (after Nov. 30 of breeding year)	\$50.00+\$6/mare	\$140.00+\$10/mare
	Semen Transport Fee	\$25.00 each	\$70.00 each
	DNA Typing	\$65.00	\$110.00
F.	<b>Rush Fees (must be paid by money order, certified cheque or approved credit card)</b>		
	10 Office Day Rush	\$30.00	\$60.00
	48 Hour Rush	\$50.00	\$100.00
G.	<b>Miscellaneous</b>		
	Extended Pedigree to 5th Generation	\$40.00	\$80.00
	Lease Filing	\$25.00	\$100.00
	NSF Cheque Charge	\$20.00	\$50.00
	Fax Charges	\$5.00	\$5 + \$1/page
	AQHA, Thoroughbred, USA owned ApHC Listing Fee	\$60.00	\$120.00
	Research Fee (DNA/blood type for Parental Stock horse)	\$20.00	\$55.00
	Protest of qualification, classification or status of any horse	\$500.00	n/a
	Sire or Dam Production Report	\$20.00	\$65.00
	Sire or Dam Show Production Report	\$20.00	\$65.00
	Horse or Exhibitor Point Record	\$20.00	\$65.00
	Complete Event Results	\$30.00	\$75.00
	Top Ten Point Leaders - Horse or Exhibitor	\$15.00	\$60.00
	Amateur Enrolment or Renewal	\$10.00	n/a
	Cancelled Item	\$20.00	\$65.00
	Competition Permit	\$100.00	n/a
	Tattooing	\$25.00	n/a
H.	<b>Inspections</b>		
	Field Inspection Fee	\$100.00 + \$60/horse	

**PART 8: NATIONAL APPALOOSA SALE**

At any National Appaloosa Sale or an Appaloosa Sale sanctioned or accredited by ApHCC, the sale horse must apply to ApHCC for sanction. All Appaloosa horses consigned to an ApHCC sanctioned sale must be registered in the name of the consignor, in the ApHCC Register of Appaloosa Horses, or the Registry of Appaloosa Horses of the Appaloosa Horse Club of Moscow, ID.

## APPENDICES

**Appendix A** - Identification Procedures and Definitions

**Appendix B** - Application for Pedigree Registration

**Appendix C** - Application for ApHC (USA) to Canadian Pedigree Registration

**Appendix D** - Certified Pedigree Option Procedure

**Contact ApHCC office for forms**

### APPENDIX A - IDENTIFICATION PROCEDURES AND DEFINITIONS

1. **Type**  
the Appaloosa Horse is of "light horse" body type; free of "draft horse" or "pony" body types.
2. **Height**  
the Appaloosa Horse must be 14 hands high or taller at the withers by not later than five years of age.
3. **Coat Pattern**  
the Appaloosa horse may have one of the following coat pattern or combination of coat patterns:
  - a. Blanket: a solid white area, normally over the hips and may extend to the withers.
  - b. Blanket with Spots: a white area which may cover the hips, with spots in this "blanket" which are usually the same colour as the base colour of the horse. The white area may extend up to the withers. Some horses may have more than one colour of spots.
  - c. Varnish Roan: a mixture of white and dark hairs with other apparent characteristics of the Appaloosa present. There may be lighter areas on the forehead, over the back and hips. May have "varnish marks".
  - d. Leopard: a white horse which has dark spots over the entire body.
  - e. Spots: white or dark spots over a portion of the body or over the entire body.
  - f. Roan Blanket: a mixture of white and dark hairs, generally over the hip area.
  - g. Roan Blanket with Spots: a mixture of white and dark hairs with white or dark spots showing up clearly, usually over the hip area.
  - h. Solid: horses which have a base colour but no contrasting coat pattern.
4. **Base Colour**  
the Appaloosa Horse may be of the following base colours:
  - a. Bay or Dark Bay: colour may range from light brown or tan through reddish shades to dark brown, with a black mane, tail and lower legs
  - b. Brown: body colour is brown with lighter areas at the muzzle, eyes, flanks and inside the upper legs.
  - c. Black: true black over the entire body, no light areas. Mane and tail are black
  - d. Chestnut: body colour varies from light yellow to dark liver in colour. May have a flaxen mane and tail, but usually the mane and tail are the same colour as the body. Will not have a black mane or tail, but may have some black hairs in the mane and tail.
  - e. White: body colour is snow white with pink skin. Normally has brown eyes.
  - f. Bay Roan: a mixture of the base colour Bay/Dark Bay or Brown hairs and white hairs. The mane, tail and lower legs will be black.

- g. Red Roan: a mixture of Chestnut hairs and white hairs.
- h. Blue Roan: a mixture of Black hairs and white hairs.
- i. Dun: body colour is yellowish or gold. Mane and tail may be brown, red, yellow, or mixed. Will always have a dorsal stripe, zebra stripes on the legs and a transverse stripe over the withers.
- j. Buckskin: a type of Dun with a body colour yellowish or gold. Mane, tail, and lower legs are usually black. May have a dorsal stripe.
- k. Palomino: body colour is golden yellow. Mane and tail are always lighter than the body colour.
- l. Grulla: body colour is smoky or mouse coloured (each hair is mouse coloured). Mane, tail, and lower legs are usually black. May have a dorsal stripe, transverse stripe over withers, and zebra stripes on the legs.
- m. Grey: a mixture of white and coloured hairs from a coloured hide. The horse is usually born solid or almost solid in colour, becoming lighter with age.

5. **Other Apparent Characteristics of the Appaloosa Horse shall be:**

- a. Mottled Skin: a speckled pattern of pigmented and non-pigmented skin. May be an area of non-pigmented skin containing dark spots or splotches of pigmented skin, or may be a dark area of pigmented skin containing spots or splotches of pigmented skin. It is apt to be found in the anus region under the tail, on the udder or sheath, on the muzzle, and/or around the eyes (it is not found on the penis).
- b. White Sclera: the white area encircling the dark or pigmented iris of the eye. Must not be in combination with a large face marking such as a blaze or bald face.
- c. Striped Hooves: bold, vertically light and dark stripes on the hooves of legs that do not have white leg markings.

6. **Parentage**

the Appaloosa Horse must have parentage as approved by the ApHCC with at least one parent being classified as having recognizable Appaloosa coat pattern other than solid.

7. **Glass or Blue Eye**

an eye which has a light blue iris resulting from the lack of brown pigment.

8. **Varnish Marks**

dark areas that are often seen on the Varnish Roan. They are found on the face, legs, stifle, above the eye, point of hip, and behind the elbow.

9. **White Face Markings**

- a. true white markings are distinguished by pink or light coloured skin beneath the white hair. These white markings are evident at the time of foaling and do not change throughout the life of the horse.
  - i. Star: a star is always found on the forehead and may be any size or shape. The forehead is above the horizontal line connecting the eyes. A horse never has more than one star. If there are two separate white marks on the forehead, the most distinct or largest is referred to as a star, while the second is described as a "white mark" and its location to the star is noted.
  - ii. Stripe: a stripe is a vertical marking found below eye level and above the imaginary horizontal line connecting the top of the nostrils. Any mark, regardless of size, in this area is referred to as a stripe. Stripes can be wide, narrow, curved, pointed, blunt, broken, or connected with a star on the forehead.
  - iii. Snip: snip is any mark found below the top of the nostrils and down to and including the lower lip. Snips can vary greatly in size and shape. They can cover the entire muzzle area, or

be a small speck on the lower lip. Snips can enter into one or both nostrils or extend the length of the horse's lip. They can vary from white to flesh coloured, to being bordered and flesh coloured. Snips may be connected to a stripe.

- iv. **Blaze:** a blaze is a large or wide connected star, stripe, and snip. A blaze is always a combination of the three and can never end above the nostrils. A blaze extends close to the eyes, wide over the center of the face and bridge of nose, and either extending almost the width of the nostrils, over part of the nostrils, or cover all of both nostrils.
- v. **Bald** a bald face refers to a very large blaze, extending outside of the eyes on the forehead and center of the face, covering the width of the bridge of the nose and over the entire muzzle. Often a bald face will have a large snip on the lower lip which can also extend to the underlip area or chin. The snip on the lower lip should be included in the description.

## 10. **White Leg Markings**

true white markings are distinguished by pink or light coloured skin beneath the white hair. These white markings are evident at the time of foaling and do not change throughout the life of the horse.

- a. **Heel:** a horse has only one heel on each foot. A white marking can be found across the entire heel or simply on one side. The side of the heel is described as being "inside" or "outside" depending on whether it is the side closest to the median plane.
- b. **Coronet:** the coronet is the first inch above the hoof, extending all the way around the foot to be inclusive of the heel. A coronet marking is white. Any and all markings on the coronet must be noted.
- c. **Half Pastern:** extends from the top of the hoof to the midway point between the coronet and the fetlock joint.
- d. **Pastern:** a pastern extends from the top of the hoof to the bottom of the fetlock joint.
- e. **Sock:** a sock refers to a white marking extending from the top of the hoof to the top of the fetlock joint.
- f. **Half Stocking:** extends from the top of the hoof to the midway point of the cannon bone.
- g. **Stocking:** any white marking that extends from the hoof covering the leg up to or above the knee or hock.
- h. **Leg:** white that extends in a continuous manner above the knee or hock but does not exceed past the top of the gaskin or top of the forearm.

\* NOTE: an irregular marking which extends from the hoof to the height described in the above leg markings will be described as a "partial" marking. For example, an irregular leg marking which extends from the hoof to the top of the ankle joint in at least one place is called a "Partial Sock".

- i. **Spots and Splashes:** irregular white leg markings which may contain base colour  
**Lightening Marks** spots and do not contact the hoof.

## 11. **Tattooing**

the use of needles and ink to make permanent markings or lettering on the upper lip of the horse.

## 12. **Brands & Freeze Marks**

a brand or freeze mark is a permanent mark applied on livestock for identification purposes. A hot brand or a freeze brand may be applied.

## 13. **Hair Whorls**

hair whorls (cowlicks) must be identified by the location, size, and direction of the prominent whorl. They are usually located on the front part of the body (ie. head, neck, or chest) and may turn to the left, the right, or in a starburst pattern.

14. **Cannon Bone Circumference**  
a measurement taken at the smallest point between the knee and the fetlock joint of the front leg which may be used to conclude the determination of "light horse" body type and relate to structural stability. The circumference for a horse entered into the Register of Appaloosa Horses shall be no less than six (6) inches and no more than nine (9) inches at five (5) years of age.
15. **Excessive White**  
Continuous leg markings must not exceed the top of the forearms or the top of the gaskin. On the head, white will not exceed a line around the throat latch and behind the ears. Pinto/Paint markings on the body will not be allowed, this type of marking usually has a solid line that does not blend into the base color. Tobiano or overo markings on the body that are continuous or uninterrupted, larger than twelve centimetres (4.8 inches) in any direction, and which marking has a solid line that does not blend into the base color will not be allowed.
16. **Monorchids or Cryptorchids**  
Stallions having one or both testicles undescended when two (2) years of age or older, per Section XI B.
17. **Overbite or Underbite**  
No mare or stallion two (2) years of age or older may be accepted into the ApHCC Register of Appaloosa Horses if it has an overbite or underbite of over three-quarters (3/4's) of a tooth.
18. **Age**  
Refer to Section IX A. 3.

## **APPENDIX B - APPLICATION FOR PEDIGREE REGISTRATION**

Prior to completing an Application for Pedigree Registration, read these directions and complete the form as instructed. This will help to avoid unnecessary delays.

### Section 1:

Complete all portions of Section 1. Indicate "n/a" in any portion which does not apply (for example, sex of twin, or date gelded). The signature of the person(s) who were the recorded owner of the dam at the time the foal was born must be completed in Section 1.

### Section 2:

Breeder Certificate - this section must be completed in full and must be signed by the owner(s) of the dam at the time of breeding. [Section XII.C.2.c]

### Section 3:

Service Certificate - this section must be completed in full, including all dates of service during the relevant calendar year. The owner (s) of the sire at the time of service must sign the Service Certificate. [Section XII.C.2.c]

### Section 4:

Transfer Report - This section should only be completed if the applicant horse has been sold and is being transferred at the time of registration. The Transfer Report must be signed by the recorded owner(s) of the dam at the time of foaling.

### Section 5:

Reverse of the Application for Pedigree Registration -

The physical identification of all Appaloosa horses shall be by exact description of base color, face and leg markings, coat pattern, other apparent characteristics, notable scars, brands, freeze marks and/or micro-chip or tattoo. These means of physical identification shall be recorded in writing in addition to the marking of face and leg markings on the diagrams provided on the back of the Application for Pedigree Registration.

When completing the written description and the diagram of face/leg markings, familiarize yourself with the definitions included in Appendix A, remember that when you face the horse your right is his left, and use the following procedures:

#### **Front View**

Facing the horse, draw the face markings, checking the upper lip, lower lip, and chin for markings. Write the written description of the face markings in the location provided. Check the lip for a tattoo and record tattoo number if present. Check for presence of white sclera, mottled skin, and/or glass or blue eyes and mark the appropriate box. Check for presence of striped hooves on all four hooves and mark the appropriate box. Draw the front view of markings of all four legs.



**Left View**

Move to your right, noting any face markings visible. Check for brand, freeze mark, notable scars, and/or any unusual markings and write findings in the location provided. Mark the base color and the Appaloosa coat pattern in the appropriate box. Draw the left side view of leg markings on all four legs.

**Hind View**

Continuing around the horse to your right, complete hind view of leg markings. Note color of tail.

**Right View**

Repeat procedure as for left view. Complete the written description of the leg markings. Record color of mane and tail.

**Photographs**

The photographs must confirm the markings that you have recorded on the reverse of the Application of Pedigree Registration. If a marking is not visible in the photographs submitted, or if there appears to be a marking visible in the photographs submitted but not recorded on the Application for Pedigree Registration, you will receive a request for additional photographs. These additional photographs will be required before the Registrar may consider issuing a certificate for the applicant horse.

At least four photographs must be submitted: direct front view, left side view, right side view, and direct hind view. Additional photographs may be submitted to prove the presence or absence of markings, coat pattern, and/or characteristics. All photographs shall be labeled on the back with the name of the applicant horse, date the photograph was taken, and the name and address of person taking the photograph. The applicant horse shall be "Photo Inspected" by the Registrar in accordance with Section XII.E.1.

**APPENDIX C - APPLICATION FOR ApHC (USA) HORSES FOR ENTRY INTO THE ApHCC BOOK OF IDENTIFIED APPALOOSAS**

ApHC horses may apply for identification in one of the four (4) Levels of Identified Appaloosa Horses. The following procedures must be followed:

1. An "Application for ApHC (USA) to ApHCC Book of Identified Appaloosas" must be completed and signed by the owner. A copy of the front and back of the ApHC (USA) certificate must be submitted to the ApHCC with the Application.
2. A Veterinary Doctor or ApHCC Inspector must complete an "ApHCC Inspector/Veterinarian Evaluation Form" supplied by the ApHCC for animals two years of age or older. This form shall require information on the height of the horse, whether the horse is monorchid or cryptorchid (stallions), whether the horse has an overbite or underbite (stallions and mares) and/or other information as may be required by the ApHCC By-Laws including SECTION XII.E.2.
3. The owner shall submit at least four (4) current, colour photographs of the horses and all information as in the ApHCC By-Laws. This shall include complete left and right side views, direct front view, direct rear view, showing all face markings, leg markings, brands, scars and Appaloosa coat pattern and/or characteristics [SECTION XII E.1].
4. The Application for ApHC into ApHCC Book of Identified Appaloosas and the Inspector or Veterinary Evaluation Form and the current colour photographs will be examined by the ApHCC Registrar. The pedigree of the applicant horse will also be evaluated to determine the lineage of ancestry (SECTION VII.C.2). All applications shall be subject to the determination of all parts of the evaluation.
5. The horse must have at least one parent registered/identified with the Appaloosa Horse Club of Canada or with the Appaloosa Horse Club, Moscow, Idaho. The other parent can be one of the following crosses:
  - i) The Appaloosa Horse Club of Canada, Register of Appaloosa Horses
  - ii) The Appaloosa Horse Club, Moscow, Idaho
  - iii) The American Quarter Horse Association, registered or appendix certificate, Amarillo, Texas
  - iv) The Canadian Quarter Horse Association
  - v) The Jockey Club (Thoroughbred), Kentucky
  - vi) The Canadian Thoroughbred Horse Society

The "characteristic" Appaloosa, CPO Appaloosa, "no characteristic" Appaloosa, registered Quarter Horse, or registered Thoroughbred horse must be bred to an Appaloosa horse as described above which has a recognizable Appaloosa coat pattern, other than solid.

6. Regardless of the sex of the horse, its parents must meet all the requirements herein referred.
7. If the recorded owner at the time of application is not the recorded owner on the ApHC (USA) certificate, a transfer of ownership must be signed by the last recorded owner(s) and must accompany this application.
8. Any horse registered with the ApHC (USA) and owned by a resident of Canada, must be registered with the ApHCC before the horse may be shown at ApHCC approved shows and before that horse's progeny are qualified for registration with the ApHCC.

9. By submission of this Application, the applicant certifies that all information on the application is true and correct, and agrees that the Appaloosa Horse Club of Canada has the right to correct and/or cancel the certificate for causes under its Constitution, By-laws, Regulations and Appendices thereto, and agrees to make the applicant available for blood typing and/or DNA typing and parentage verification should the applicant horse be chosen for testing pursuant to the Constitution, By-laws, and Regulations, of the ApHCC and specifically the random parentage testing program (Regulations Part 2:).

#### **APPENDIX D - CERTIFIED PEDIGREE OPTION PROCEDURE**

A horse which does not display visible Appaloosa characteristics may apply to have its parentage certified through the Certified Pedigree Option procedure if the following criteria are met:

1. The CPO applicant horse must be blood typed or DNA typed and the results filed with The Appaloosa Horse Club of Canada.
2. The dam must be blood typed or DNA typed and the results filed with The Appaloosa Horse Club of Canada.
3. The sire must be blood typed or DNA typed and the results filed with The Appaloosa Horse Club of Canada.
4. The owner must pay all expenses for the following:
  - (a) veterinarian to draw blood if blood typing is utilized,
  - (b) Appaloosa Horse Club of Canada inspection to identify the CPO applicant horse at the time the blood sample is drawn, OR Appaloosa Horse Club of Canada inspection to identify the CPO applicant horse at which time the Appaloosa Horse Club of Canada Inspector will take the hair sample for DNA typing,
  - (c) a fee payable to The Appaloosa Horse Club of Canada for blood or DNA testing by laboratory, and
  - (d) all other costs incurred that are necessary for completing the CPO application.
5. When the requirements are met to the satisfaction of the Registrar, the horse will receive an amended Certificate of Identification as hereinafter provided, showing this pedigree/parentage has been certified.
6. The decision of the Registrar on all CPO applicants is final: however the owner of the horse shall have the right to appeal in accordance with the Appeal Procedures.
7. The burden of providing entitlement for this program rests with the applicant.
8. Once a CPO horse has been positively identified as being of Appaloosa parentage, the animal's descendants will be eligible for the CPO program after an application for CPO has been submitted and a blood test or DNA type is on file with The Appaloosa Horse Club of Canada, for that descendant. This applies only if both sire and dam have blood test results or DNA type on file with The Appaloosa Horse Club of Canada.
9. At the time the blood is drawn or the hair sample for DNA testing is taken, every horse in the CPO program must be:
  - (a) freeze marked or identified by cowlick/hair whorls (trichoglyphs) by an approved Appaloosa Horse Club of Canada inspector, in the horses' weanling year, or
  - (b) tattooed by an official Appaloosa Horse Club of Canada inspector, or freeze marked, for horses no earlier than January of their yearling year.
10. All blood typing or DNA typing and related identification procedures must comply with all Appaloosa Horse Club of Canada bylaws, regulations and/or rules and if the horse fails to meet the requirements after application into the CPO program, the horse's registration certificate or identification certificate will automatically be canceled.
11. The Appaloosa Horse Club of Canada reserves the right to suspend, revoke, or correct the certificate issue and the entry of the named horse in accordance with the Protest, Inspection, and Appeal procedures set forth in the ApHCC Constitution, Bylaws, Regulations and Rules

Contact the ApHCC office for forms.